# Rational numbers with purely periodic beta-expansion

<span id="page-0-0"></span>Boris Adamczeswki, C. Frougny, A. Siegel, W.Steiner

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# Fractals, Tilings, and Things?

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Fractals, Tilings, and Things?

Number theory : expansions in several bases

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Rauzy fractals

Fractals, Tilings, and Things?

Number theory : expansions in several bases

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Rauzy fractals Self-affine tilings

Fractals, Tilings, and Things?

Number theory : expansions in several bases

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Rauzy fractals Self-affine tilings

Fractals, Tilings, and Things?

Number theory : expansions in several bases

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# <span id="page-5-0"></span>Goal : using fractal geometry to prove irrationality

Who has a regular expansion in base 10 ?

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Who has a regular expansion in base 10 ?

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Greedy algorithm :

$$
x = \frac{1}{10} \left( \underbrace{10x}_{=a_1} + \underbrace{10x}_{=x_1} \right)
$$

Who has a regular expansion in base 10 ?

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$$
x = \frac{1}{10} \left( \underbrace{[10x]}_{=a_1} + \underbrace{[10x]}_{=x_1} \right)
$$
  
\n
$$
= \frac{a_1}{10} + \frac{1}{100} \left( \underbrace{[10x_1]}_{=a_2} + \underbrace{[10x_1]}_{=x_2} \right)
$$
  
\n
$$
= \frac{a_1}{10} + \frac{a_2}{10^2} + \dots + \frac{a_n}{10^n} + \dots
$$

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$$

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- $\triangleright$  What are the expansions in [0, 1]?
- $\triangleright$  Who has an eventually periodic expansion?
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$$

- $\triangleright$  What are the expansions in [0, 1]? Sequences in  $\{0,1\ldots 9\}^{\mathbb{N}}$  except those ending with 99999..... Since  $1 = \frac{9}{10} + \frac{9}{10^2} + \cdots + \frac{9}{10^n} + \ldots$
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\n
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$$
  
\n
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= \frac{a_1}{10} + \frac{1}{100} \left( \underbrace{10x_1} + \underbrace{10x_1} \right)
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Greedy algorithm :

$$
x = \frac{1}{10} \left( \underbrace{10x} + \underbrace{10x} \right)
$$
  
\n
$$
= \frac{a_1}{10} + \frac{1}{100} \left( \underbrace{10x_1} + \underbrace{10x_1} \right)
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<span id="page-14-0"></span>Remark : Numbers with a regular expansion are *uniformly spread in*  $[0, 1]$  $(\hbox{similar results hold for any integer base } b)$  $(\hbox{similar results hold for any integer base } b)$  $(\hbox{similar results hold for any integer base } b)$  $(\hbox{similar results hold for any integer base } b)$  $(\hbox{similar results hold for any integer base } b)$  $(\hbox{similar results hold for any integer base } b)$ 

Golden ratio :  $\phi = \frac{1 + \sqrt{5}}{2}$ 

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<span id="page-15-0"></span>Greedy algorithm :  $\phi$ -expansions

$$
x = \frac{1}{\phi} \left( \underbrace{\phi x}_{=a_1} \right) + \underbrace{\phi x}_{=x_1} \right)
$$

Golden ratio :  $\phi = \frac{1 + \sqrt{5}}{2}$ 

Greedy algorithm :  $\phi$ -expansions

$$
\begin{array}{rcl}\n\mathbf{x} & = & \frac{1}{\phi} \left( \left[ \phi \mathbf{x} \right] + \left\{ \phi \mathbf{x} \right\} \right) \\
& = & \frac{a_1}{\phi} + \frac{1}{\phi} \left( \left[ \phi \mathbf{x}_1 \right] + \left\{ \phi \mathbf{x}_1 \right\} \right) \\
& = & \frac{a_1}{\phi} + \frac{a_2}{\phi^2} + \cdots + \frac{a_n}{\phi^n} + \cdots\n\end{array}
$$

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Golden ratio :  $\phi = \frac{1 + \sqrt{5}}{2}$ 

Greedy algorithm :  $\phi$ -expansions

$$
x = \frac{1}{\phi} \left( \left[ \phi x \right] + \left\{ \phi x \right\} \right)
$$
  
\n
$$
= \frac{a_1}{\phi} + \frac{1}{\phi} \left( \left[ \phi x_1 \right] + \left\{ \phi x_1 \right\} \right)
$$
  
\n
$$
= \frac{a_1}{\phi} + \frac{a_2}{\phi^2} + \dots + \frac{a_n}{\phi^n} + \dots
$$

 $\triangleright$  What are the expansions in [0, 1]?

- $\triangleright$  Who has an eventually periodic expansion?
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Golden ratio :  $\phi = \frac{1 + \sqrt{5}}{2}$ 

Greedy algorithm :  $\phi$ -expansions

$$
x = \frac{1}{\phi} \left( \frac{(\phi x)}{\phi x} \right) + \frac{\phi x}{\phi x}
$$
  
\n
$$
= \frac{a_1}{\phi} + \frac{1}{\phi} \left( \frac{(\phi x_1)}{\phi x_1} \right) + \frac{\phi x_1}{\phi x_1}
$$
  
\n
$$
= \frac{a_1}{\phi} + \frac{a_2}{\phi^2} + \dots + \frac{a_n}{\phi^n} + \dots
$$

 $\triangleright$  What are the expansions in [0, 1]? Sequences in  $\{0,1\}^{\mathbb{Z}}$  with no two consecutive 1 and not ending with 01010101

(since 
$$
1 = \frac{1}{\phi} + \frac{1}{\phi^2} = \frac{1}{\phi} + \frac{0}{\phi^2} + \frac{1}{\phi^3} + \frac{0}{\phi^3} + \dots
$$
)

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Golden ratio :  $\phi = \frac{1 + \sqrt{5}}{2}$ 

Greedy algorithm :  $\phi$ -expansions

$$
x = \frac{1}{\phi} \left( \frac{(\phi x)}{\phi x} \right) + \frac{\phi x}{\phi x}
$$
  
\n
$$
= \frac{a_1}{\phi} + \frac{1}{\phi} \left( \frac{(\phi x_1)}{\phi x_1} \right) + \frac{\phi x_1}{\phi x_1}
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$$
)

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- $\triangleright$  Who has an eventually periodic expansion? All  $x \in \mathbb{Q}(\phi)$  (Bertrand :77)
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Golden ratio :  $\phi = \frac{1 + \sqrt{5}}{2}$ 

Greedy algorithm :  $\phi$ -expansions

$$
x = \frac{1}{\phi} \left( \frac{[\phi \times ]}{\phi} + \frac{\phi \times }{\phi} \right)
$$
  
\n
$$
= \frac{a_1}{\phi} + \frac{1}{\phi} \left( \frac{[\phi \times ]}{\phi} + \frac{\phi \times 1}{\phi} \right)
$$
  
\n
$$
= \frac{a_1}{\phi} + \frac{a_2}{\phi^2} + \dots + \frac{a_n}{\phi^n} + \dots
$$

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$$
)

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- $\triangleright$  Who has a finite expansion? All  $x \in \mathbb{Z}[\phi]$
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Greedy algorithm :  $\phi$ -expansions

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x = \frac{1}{\phi} \left( \frac{(\phi x)}{\phi x} \right) + \frac{\phi x}{\phi x}
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\n
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= \frac{a_1}{\phi} + \frac{1}{\phi} \left( \frac{(\phi x_1)}{\phi x_1} \right) + \frac{\phi x_1}{\phi x_1}
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= \frac{a_1}{\phi} + \frac{a_2}{\phi^2} + \dots + \frac{a_n}{\phi^n} + \dots
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(since 
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$$
)

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- $\triangleright$  Who has an eventually periodic expansion? All  $x \in \mathbb{Q}(\phi)$  (Bertrand :77)
- $\triangleright$  Who has a finite expansion?

All  $x \in \mathbb{Z}[\phi]$ 

 $\triangleright$  Which rational numbers have a purely periodic expansion ? All  $x \in \mathbb{Q} \cap [0,1]$  (Schmidt :80)

Golden ratio :  $\phi = \frac{1 + \sqrt{5}}{2}$ 

Greedy algorithm :  $\phi$ -expansions

$$
x = \frac{1}{\phi} \left( \frac{(\phi x)}{\phi x} \right) + \frac{\phi x}{\phi x}
$$
  
\n
$$
= \frac{a_1}{\phi} + \frac{1}{\phi} \left( \frac{(\phi x_1)}{\phi x_1} \right) + \frac{\phi x_1}{\phi x_1}
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1 = \frac{1}{\phi} + \frac{1}{\phi^2} = \frac{1}{\phi} + \frac{0}{\phi^2} + \frac{1}{\phi^3} + \frac{0}{\phi^3} + \dots
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All  $x \in \mathbb{Z}[\phi]$ 

 $\triangleright$  Which rational numbers have a purely periodic expansion ? All  $x \in \mathbb{O} \cap [0,1]$  (Schmidt :80)

# **Still uniformly spread in**  $[0,1]$

Regular expansions in the golden ratio quadratic unit basis?

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Golden ratio base  $\beta$  :  $\beta^2 = a\beta + b$  b  $b = \pm 1$  b  $\beta > 1$ 

Greedy algorithm :  $\phi$ -expansions  $\beta$ -expansions

$$
x = \frac{1}{\beta}([\beta x] + {\beta x \choose \beta x}]
$$
  
\n
$$
= \frac{a_1}{\beta} + \frac{1}{\beta}([\beta x_1] + {\beta x_1 \choose \beta x_1}]
$$
  
\n
$$
= \frac{a_1}{\beta} + \frac{a_2}{\beta^2} + \dots + \frac{a_n}{\beta^n} + \dots
$$

- $\triangleright$  What are the expansions in [0, 1]? Sequences in  ${0,1}^{\mathbb{N}}$  with no two consecutive 1 and not ending with 010101.... ??? (since  $1=\frac{1}{\phi}+\frac{1}{\phi^2}=\frac{1}{\phi}+\frac{0}{\phi^2}+\frac{1}{\phi^3}+\frac{0}{\phi^3}+\dots)$
- $\triangleright$  Who has an eventually periodic expansion? All  $x \in \mathbb{O}(\phi)$  (Bertrand :77) ? ? ?
- $\triangleright$  Who has a finite expansion?

All  $x \in \mathbb{Z}[\phi]$  ???

 $\triangleright$  Which rational numbers have a purely periodic expansion ? All  $x \in \mathbb{Q} \cap [0,1]$  (Schmidt :80) ? ? ?

Still uniformly spread in  $[0, 1]$  ??

Regular expansions in the golden ratio quadratic unit basis?

Golden ratio base  $\beta$  :  $\beta^2 = a\beta + b$  b  $b = \pm 1$  b  $\beta > 1$ Greedy algorithm :  $\phi$ -expansions  $\beta$ -expansions  $x = \frac{1}{\beta}([\beta x] + (\beta x))$  $=$   $\frac{a_1}{\beta} + \frac{1}{\beta} \left( \left[ \beta x_1 \right] + \right)$  $=$ a<sub>2</sub>  $+ \{\beta x_1\}$  $\overline{z} = x_2$ )  $=$   $\frac{a_1}{\beta} + \frac{a_2}{\beta^2} + \cdots + \frac{a_n}{\beta^n} + \ldots$ 

- $\triangleright$  What are the expansions in [0, 1]? Sequences in  ${0,1}^{\mathbb{N}}$  with no two consecutive  $1$  and not ending with 01010101.... Strictly smaller than the infinite expansion of 1. (since  $1 = \frac{a_1}{\beta} + \frac{a_2}{\beta} + \frac{a_3}{\beta^3} + \dots$ )
- $\triangleright$  Who has an eventually periodic expansion? All  $x \in \mathbb{Q}(\phi)$  (Bertrand :77) Always true
- $\triangleright$  Who has a finite expansion?

All  $x \in \mathbb{Z}[\phi]$  def :=  $\beta$  satisfies the property (F); depends on  $b = \pm 1$ 

 $\triangleright$  Which rational numbers have a purely periodic expansion ? All  $x \in \mathbb{Q} \cap [0, 1]$  (Schmidt :80) all or none depending on (F)

Still uniformly spread in  $[0, 1]$  (OK) + Dichotomy according to  $(F)$ **A DIA K RIA A E A DIA K RIA K DIA A DIA A BELIEFE DIA A DIA A BELIEFE DIA A DIA A BELIEFE DIA A BELIEFE DIA A BEL** 

Base  $\beta : \beta^n = a_{n-1}\beta^{n-1} + \cdots + \pm 1$  ,  $\beta > 1$ 

**Pisot hypothesis** :  $\beta > 1$  and its Galois conjugates  $\beta^{(i)}$  are all strictly smaller than one.

Greedy algorithm :  $\beta$ -expansions

$$
x=\frac{a_1}{\beta}+\frac{a_2}{\beta^2}+\cdots+\frac{a_n}{\beta^n}+\ldots
$$

- $\triangleright$  What are the expansions in [0, 1]? Sequences in  $\{0,1\}^{\mathbb{N}}$  strictly smaller than the infinite expansion of 1. ??
- $\triangleright$  Who has an eventually periodic expansion? All  $x \in \mathbb{Q}(\phi)$  (Bertrand :77) ??
- $\triangleright$  Who has a finite expansion?

All  $x \in \mathbb{Z}[\phi]$  ( def :=  $\beta$  satisfies the property (F)) ??

 $\triangleright$  Which rational numbers have a purely periodic expansion ? All  $x \in \mathbb{O} \cap [0,1]$  ??

Still uniformly spread in  $[0, 1]$  ??

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Base  $\beta : \beta^n = a_{n-1}\beta^{n-1} + \cdots + \pm 1$  ,  $\beta > 1$ 

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$$

- $\triangleright$  What are the expansions in [0, 1]? Sequences in  $\{0,1\}^{\mathbb{N}}$  strictly smaller than the infinite expansion of 1. ?? OK from Pisot assumption
- $\triangleright$  Who has an eventually periodic expansion? All  $x \in \mathbb{Q}(\phi)$  (Bertrand :77) ?? OK from Pisot assumption
- $\triangleright$  Who has a finite expansion?

All  $x \in \mathbb{Z}[\phi]$  ( def :=  $\beta$  satisfies the property (F)) ?? Conditions for (F) (Frougny&Solomyak :02, Akiyama :02...)

 $\triangleright$  Which rational numbers have a purely periodic expansion ? All  $x \in \mathbb{O} \cap [0,1]$  ?? NO!

Still uniformly spread in  $[0, 1]$  ?? NO!

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$$
x=\frac{a_1}{\beta}+\frac{a_2}{\beta^2}+\cdots+\frac{a_n}{\beta^n}+\ldots
$$

Which rational numbers have a purely periodic expansion ?

Theorem (Akiyama :02) If  $\beta^3=\beta+1$ , there exists  $\gamma(\beta)=0.666\,666\,086\,\dots$  such that  $\triangleright$  all  $x \in \mathbb{Q} \cap [0, \gamma(\beta)]$  have a purely periodic  $\beta$ -expansion **►** a sequence  $x_n \in \mathbb{Q}$ , lim  $x_n = \gamma(\beta)$  does not have a periodic  $\beta$ -expansion.

Purely periodic expansions are NOT uniformly spread in [0, 1]

$$
x=\frac{a_1}{\beta}+\frac{a_2}{\beta^2}+\cdots+\frac{a_n}{\beta^n}+\ldots
$$

Which rational numbers have a purely periodic expansion ?

Theorem (Akiyama :02) If  $\beta^3=\beta+1$ , there exists  $\gamma(\beta)=0.666\,666\,086\,\dots$  such that  $\blacktriangleright$  all  $x \in \mathbb{Q} \cap [0, \gamma(\beta)]$  have a purely periodic  $\beta$ -expansion **E** a sequence  $x_n \in \mathbb{Q}$ , lim  $x_n = \gamma(\beta)$  does not have a periodic  $\beta$ -expansion.

#### Purely periodic expansions are NOT uniformly spread in [0, 1]

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#### Three new questions.

- In Its there still a dichotomy according to  $(F)$ ?
- ► What can be said on  $\gamma(\beta)$ ?
- <span id="page-28-0"></span>In It the good conference : Tilings and fractals ? ? ? ? ?

Expand any positive real in base  $\beta$ 

$$
x = \underbrace{a_{-k+1}\beta^{k-1} + \cdots + a_{-1}\beta + a_0}_{\beta\text{-integral part}} + \underbrace{a_1\beta^{-1} + a_2\beta^{-2} + \cdots}_{\beta\text{-fractional part}}.
$$

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<span id="page-29-0"></span>Compact representation of number with no fractional part ?

Expand any positive real in base  $\beta$ 

$$
x = \underbrace{a_{-k+1}\beta^{k-1} + \cdots + a_{-1}\beta + a_0}_{\beta\text{-integral part}} + \underbrace{a_1\beta^{-1} + a_2\beta^{-2} + \cdots}_{\beta\text{-fractional part}}.
$$

Compact representation of number with no fractional part ?

► Galois conjugates of  $\beta$  r – 1 real conjugates and 2s complex conjugates. Pisot assumption :  $|\beta^{(i)}| < 1$ 

Example : smallest Pisot number  $\beta^3 = \beta + 1$ . Two complex conjugates  $\beta^{(2)}, \overline{\beta^{(2)}}$ .

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Expand any positive real in base  $\beta$ 

$$
x = \underbrace{a_{-k+1}\beta^{k-1} + \cdots + a_{-1}\beta + a_0}_{\beta\text{-integral part}} + \underbrace{a_1\beta^{-1} + a_2\beta^{-2} + \cdots}_{\beta\text{-fractional part}}.
$$

Compact representation of number with no fractional part ?

 $\triangleright$  Galois conjugates of  $\beta$  r − 1 real conjugates and 2s complex conjugates. Pisot assumption :  $|\beta^{(i)}| < 1$ 

Example : smallest Pisot number  $\beta^3 = \beta + 1$ . Two complex conjugates  $\beta^{(2)}, \overline{\beta^{(2)}}$ .

**F** Canonical embedding : replace every polynomial in  $\beta$  by its conjugates

$$
\begin{array}{rcl} \xi:&\mathbb{Q}(\beta) &\to&\mathbb{R}^{r-1}\times\mathbb{C}^{\mathsf{s}}\\ &\times&\mapsto&(\sigma_2(\mathsf{x}),\ldots,\sigma_{r+\mathsf{s}}(\mathsf{x})).\end{array}
$$

Smallest Pisot number :  $\xi: P(\beta) \in \mathbb{R} \mapsto P(\beta^{(2)}) \in \mathbb{C}$ 

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x \rightarrow (\sigma_2(x), \ldots, \sigma_{r+s}(x)).
$$

Smallest Pisot number :  $\xi: P(\beta) \in \mathbb{R} \mapsto P(\beta^{(2)}) \in \mathbb{C}$ 

 $\triangleright$  Central tile (Rauzy :81, Thurston :89, Akiyama :98)

 $\mathcal{T} := \{ \xi \text{ (integral part of a positive number)} \}$ 

<span id="page-32-0"></span>Smallest PP :  $\xi(\beta^n) = (\beta^{(2)})^n \in \mathcal{T}$ ,  $\xi(\beta^5 + 1) = \beta^{(5)} + 1 \in \mathcal{T}$ but $\beta^2+1$  is not an integer part :  $(\beta^{(2^2}+1\not\in \mathcal{T}\, ?$  $(\beta^{(2^2}+1\not\in \mathcal{T}\, ?$  $(\beta^{(2^2}+1\not\in \mathcal{T}\, ?$  $(\beta^{(2^2}+1\not\in \mathcal{T}\, ?$ 

<span id="page-33-0"></span>Replace polynomials in  $\beta$  by polynomials in its conjugates and take the closure of reals with no fractional part



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Tilings !

 $x \in \mathbb{Z}[\beta]$   $\mathcal{T}(x) := \overline{\{\xi(\text{reals with the same fractional part as } x)\}}$ 



- $\blacktriangleright$  Finite number of tiles (admissibility condition)
- $\triangleright$  Covering of the space

# Theorem (Akiyama&Rao&Steiner :06)

Let  $\beta$  be a unit cubic Pisot number. Then the covering by central tiles is a tiling. $\exists$  (  $\exists$  ) (  $\exists$  ) (  $\exists$  ) (  $\exists$  )

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# Fractals and things ?

Strong relation between periodicity and the central tile



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Property  $(F)$  iff 0 is an inner point (Akiyama :02).

# Fractals and things ?

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- Property  $(F)$  iff 0 is an inner point (Akiyama :02).
- $\triangleright$  Purely periodic expansions : searching for a natural extension
	- $\triangleright$  subdivise the central tile according to the admissibility condition

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 $\blacktriangleright$  build a suspension

# Fractals and things ?

Strong relation between periodicity and the central tile



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	- $\blacktriangleright$  build a suspension

Ito&Rao :04  $x \in \mathbb{Q}(\beta)$  purely periodic roughly means that  $-\xi(x)$  lies in the central tile.

Theorem (Ito&Rao :04)

Let  $\beta$  be a Pisot unit and  $x \in [0,1)$ . The  $\beta$ -expansion of x is purely periodic if and only if  $x \in \mathbb{Q}(\beta)$  and

$$
(-\xi(x),x)\in \mathcal{E}_{\beta}:=\bigcup_{i=0}^{n+m-1}T_i\times [0,T_{\beta}^i(1)).
$$

# Back to purely periodic expansions

Reminder  $1 \times \in \mathbb{Q}(\beta)$  purely periodic means that  $-\xi(x)$  lies in the central tile.

Reminder 2  $\gamma(\beta)$  is the lenght of the largest interval issued from 0 that contains only purely periodic expansions



New question : What is the largest diagonal contained in the suspension ?

# Back to purely periodic expansions

Reminder  $1 \times \in \mathbb{Q}(\beta)$  purely periodic means that  $-\xi(x)$  lies in the central tile.

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New question : What is the largest diagonal contained in the suspension ?

#### **Corollary**

When the tiling property holds,  $\gamma(\beta)$  lies at the intersection of two tiles and a rational line in the tiling.

# Self-similar properties

# Definition (Spiral point)

A point  $z \in \mathcal{T}$  is a spiral point if for all  $\varepsilon$  and  $\theta$ , both  $Int(\mathcal{T})$  and the complement  $\mathcal{C}(\mathcal{T})$  meet the ray  $z+[0,\varepsilon)e^{i\theta}:=\big\{z+\rho e^{i\theta}\mid \rho\in [0,\varepsilon)\big\}.$ 



Admissibility condition yields self-similar IFS and then spiral properties

#### Lemma

Let  $\beta$  be a cubic Pisot number with a complex Galois conjugate  $\alpha$ . Then every point in  $\mathbb{O}(\alpha)$  that belongs to the boundary of T is a spiral point with respect to this tile.

# Dichotomy with respect to (F)

If 0 is on the boundary :

- $\blacktriangleright$  The boundary has a spiral shape on 0
- $\triangleright$  Points on the horizontal line out of the central tile. Small rationals with non purely periodic expansion



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### There is still a dichotomy in the cubic case

Theorem

Let  $\beta$  be a cubic Pisot unit. Then, one of the following holds :

- (i)  $\beta$  satisfies (F) and  $\gamma(\beta) > 0$ ,
- (ii)  $\beta$  does not satisfy (F) and  $\gamma(\beta) = 0$ .

# Irrationality

If  $\gamma(\beta)$  is rational :

- **Fig.** The boundary has a spiral shape on  $-\gamma(\beta)$
- Points smaller than  $\gamma(\beta)$  out of the central tile.
	- The interval  $-[0, \gamma(\beta)]$  cannot be fully included in the tile



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# Irrationality

If  $\gamma(\beta)$  is rational :

- **F** The boundary has a spiral shape on  $-\gamma(\beta)$
- **Points smaller than**  $\gamma(\beta)$  **out of the central tile.** 
	- The interval  $-[0, \gamma(\beta)]$  cannot be fully included in the tile



The "086" in  $\gamma(\beta)$  was not a computational error Purely periodic expansion are definitively not randomly spread in [0, 1]

Theorem

Let  $\beta$  be a cubic Pisot unit satisfying (F) and such that the number field  $\mathbb{Q}(\beta)$ is not totally real. Then,  $\gamma(\beta)$  is irrational. In particular,  $0 < \gamma(\beta) < 1$ .

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# The beginning of a long history ?

#### Fractal and tilings bring unexpected proofs of irrationality

- $\triangleright$  Non cubic case self-affine structure instead of self-similar. Intersection of a line with a the tile ? Shape of the boundary ?
- $\triangleright$  Non unit case. Suspension with p-adic representations. No more dichotomy with respect to  $(F)$ .
- $\blacktriangleright$  Fractals and numeration
	- $\triangleright$  Best simultaneous approximations : largest ball in the fractal.
	- $\triangleright$  Radix expansions : hierarchy of IFS. Which property remains?

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 $\blacktriangleright$  Tiling condition ?