Extending Backward Beam Tracing to Glossy Scattering Surfaces

B. Duvenhage¹, K. Bouatouch² and D. G. Kourie¹

¹University of Pretoria, Pretoria, South Africa ²University of Rennes 1, Rennes, France

Abstract

From the literature, it is known that backward polygon beam tracing, i.e. beam tracing from the light source (L), methods are well suited to gather path coherency from specular (S) scattering surfaces. These methods are of course useful for modelling and efficiently simulating caustics on diffuse (D) surfaces which are due to LS^+D transport paths. This paper generalises backward polygon beam tracing to include a glossy (G) scattering surface. To this end the details of a backward polygon beam tracing model and implementation of L(S|G)D transport paths are presented. A ray tracing forward renderer is used to connect these lumped transport paths to the eye (E). Although we limit the discussion to short transport paths we show that backward beam tracing outperforms photon mapping by an order of magnitude for rendering caustics from glossy and specular surfaces.

Categories and Subject Descriptors (according to ACM CCS): I.3.7 [Computer Graphics]: Three Dimensional Graphics and Realism—Color, shading, shadowing, and texture

1. Introduction

Watt [Wat90] and others [EAMJ05] [BP00] have used backward polygon beam tracing to efficiently simulate transport paths from the light source (L) that contain a sequence of *specular (S)* surface interactions and a final diffuse (D) interaction. Using Heckbert's [Hec90] regular expression notation the modelled transport paths are described with the expression LS⁺D. These paths result in caustics such as shown in Figure 1. Note that we use the description of *backward* tracing to refer to ray or beam tracing from the light source as opposed to tracing from the eye which is usually referred to as *forward* tracing.

The reason that specular transport paths can be lumped into beams and efficiently simulated is that specular paths are coherent. Simulating *general* light transport paths which include *glossy* (*G*) and *D* surface interactions is however difficult to do efficiently due to:

- The large transport path domain of the rendering equation, and
- the loss of coherency of diffuse and glossy transport paths.

The problem experienced in simulating global illumination is consequently one of inefficiency due to our inability

submitted to COMPUTER GRAPHICS Forum (2/2011).



Figure 1: A cardioid caustic (75x5 scattering surfaces) rendered with our implementation of backward polygon beam tracing.

to lump glossy and diffuse transport paths into groups such as beams.

In this paper we explore the use of backward polygon beam tracing to also model and efficiently simulate dynamic glossy transport paths i.e. LGD paths. The inherent effi-



Figure 2: A cardioid caustic (75x5 scattering surfaces) rendered with the new glossy backward polygon beam tracing technique. Caustic ring roughness is increased from left to right.

ciency of the backward polygon beam tracing technique in gathering path coherency is the main motivation for investigating backward beam tracing further. A forward renderer is used to connect these paths to the eye (E). Figure 2 shows a cardioid caustic due to the LGDE transport paths under consideration.

In particular in this paper we:

- Analyse the shape of single scatter glossy beams,
- describe a backward polygon beam tracing model of L(S|G)D transport paths (the main contribution), and
- present a two pass beam trace and forward render implementation of this new lumped light transport model.

We demonstrate a ray trace forward renderer with what we refer to as a Hierarchical Cone Bounding Volume (HCBV) acceleration structure. We do nonetheless also present the reader with a reference to a rasteriser forward renderer that uses a brute force beam traversal scheme which is more suited to an OpenGL or DirectX GPU implementation. The glossy backward beam tracing research is a followup of the glossy backward beam tracing work we presented in [DBK10]. We believe that extending backward polygon beam tracing to include more general light transport paths is a step towards efficiently implementing global illumination simulations using such *lumped* transport models.

Section 2 summarises the previous work done on backward polygon beam tracing. The details and limitations of a typical LSDE backward polygon beam tracing implementation are then discussed in Section 3. This section is followed by the details of our glossy (LGDE) backward polygon beam tracing technique in Section 4. Section 5 then presents some results before the paper is concluded in Section 6.

2. Related Work

Watt's [Wat90] backward polygon beam tracing method is related to Heckbert and Hanrahan's polygon beam tracing [HH84] and to Arvo's backward raytracing [Arv86]. Heckbert and Hanrahan [HH84] traced polygon beams from the eye through the scene instead of rays as in traditional forward raytracing. The advantage of beam tracing is that the spatial coherence of the polygons in the image may be exploited to do a smaller number of scene traversals than required for raytracing. Heckbert and Hanrahan also suggested tracing beams from the light source. Arvo [Arv86] used backward raytracing to render caustics which could not otherwise be simulated efficiently using the high fidelity forward raytracing and radiosity rendering techniques of the time.

Similar to what Heckbert and Hanrahan proposed, Watt [Wat90] used backward polygon beam tracing (from the light source) to improve upon the rendering of caustics by exploiting the spatial coherency of polygons. He used projected caustic surface detail polygons, light beams (similar to Nishita et al. [NMN87]) and a raytrace forward renderer to simulate single scatter caustics and single scattering of the light beams in a participating medium.

Nishita and Nakamae [NN94] used a scan-line based renderer with an accumulation buffer and light beams to simulate caustics without the need for a forward raytrace pass. Their method can produce caustics on curved surfaces and includes shadows by making use of the z-buffer.

Chuang and Cheng [CC95] can also handle nonpolygonal illuminated surfaces by finding the light beams within which any surface fragment resides. A fragment may be defined as a part of a larger surface that projects to an associated pixel on the image plane and the dimensions of a fragment is assumed to be relatively small when compared to the dimensions of the scene. Chuang and Cheng's light beams are enclosed in a hierarchy of bounding cones for more efficient point-in-beam detection.

Briere and Poulin [BP00] used a light image to adaptively refine and construct light beams from the light source. The beam wavefront is evaluated at the intersections of the edges of the beam with a surface allowing smooth interpolation of flux density for surface points within the beam. A hierarchical structure encloses the light beams for efficient point in beam evaluation.

To further address the problem of efficiency, Iwasaki et al. [IDN02] made use of GPUs to accelerate Nishita and Nakamae's [NN94] beam tracing method. They preserved the abilities to render caustics on curved surfaces and to include shadows. Iwasaki et al. [IDN03] proposed an extension to the work in which an object is expressed by a set of texture mapped slices. The intensities of the caustics on an object is then calculated by using the slices. They further implemented reflection and refraction mapping of the caustic slices to render objects as seen in a reflection or below a refractive fluid surface.

Ernst et al. [EAMJ05] also made use of backward polygon beam tracing. They used *warped* polygon beam volumes, interpolation of beam energies and a GPU implementation to improve the quality and the execution performance. Ernst et al. did not use a beam hierarchy for accelerating their GPU implementation. They instead exploited the GPU's ability to render polygons and drew a bounding volume around each



Figure 3: *The flux plane and flux triangle* $A_F B_F C_F$ *.*

beam. Fragments are then only evaluated against a beam if it is within the screen-space of the bounding volume.

The listed backward beam tracing methods model only LS^+D transport paths. More recent methods [IZT*07] [SKP07] [Wym08] [SZS*08] [UPSK08] [ZHWG08] [SJ09] [ML09] for rendering LS^+D transport paths all focus on accelerated photon-tracing and photon-mapping with impressive results in terms of both quality and performance. In particular progressive photon mapping [HOJ08] refines the light transport simulation results with each rendered frame. Progressive photon mapping is able to provide an efficient estimate of even $L(S|G|D)^+DE$ paths, but the solution is still created with individual photons and can take quite a number of iterations to converge.

3. Backward Polygon Beam Tracing

Our implementation of Watt's [Wat90] backward polygon beam tracing technique models and simulates LSD transport paths. We do however follow an approach similar to Chuang and Cheng [CC95] in which each diffuse receiver surface fragment is shaded by searching for the light beams within which it resides. We adapt a Whitted [Whi80] forward ray tracer to traverse the set of scattered beams and connect the LSD paths to the eye (E). Therefore, much the same as Chuang and Cheng, we make use of what we refer to as a Hierarchical Cone Bounding Volume (HCBV) acceleration structure to optimise beam traversal. If an OpenGL or DirectX rasteriser forward renderer is used instead then a screen-space bounding volume approach with a highly optimised inner render loop such as proposed by Ernst et al. [EAMJ05] might be more appropriate.

3.1. Modelling LSDE Transport Paths

We model light-to-specular transport paths with polygon beams between the light source and each specular scattering surface. Such a surface may be specular reflective or it may be specular transmissive with a specified index of refraction. A scattered light beam is modelled by individually scattered light vectors from each of the polygon vertices $(A_W, B_W \text{ and } C_W)$ as shown for a reflected beam in Figure 3. Each set of scattered vectors is associated with a beam flux that is conserved within the beam. Propagating the beam as a set of associated vectors instead of a swept polygon shape with planar sides implicitly results in warped caustic volumes [EAMJ05]. Doing it in this way also has the advantage that the smooth surface (as represented by the mesh normals) and the scattered light beams are appropriately decoupled from the polygon surface mesh.

During the second (forward rendering) pass each diffuse surface fragment is evaluated for whether or not it is in any scattered beams and as a result lit via an LSD transport path. Whether or not a fragment is in a specific beam is tested by first intersecting the scattered vectors with a virtual flux plane. The flux plane is perpendicular to the average specular scatter direction and includes the fragment's world position. The intersection points are connected into a specular flux polygon (triangle $A_F B_F C_F$ in Figure 3) at which point the fragment-in-beam test is reduced to whether or not the fragment position (F) is on the flux polygon. The beam's cross sectional area (area $A_F B_F C_F$) is used to calculate the in-beam flux density (the cross sectional $Watt/m^2$) and the resulting irradiance at the fragment's position using the cosine rule.

We assume that the average incoming light direction is representative of the incoming directions over the virtual flux polygon. This is however only valid if the specular scattering polygon's solid angle from the light source is small. Under this same condition we also assume that the reflectivity (due to surface colour, Fresnel effects, shadowing and masking, etc.) is constant over the scattering polygon and that the flux density is constant over the virtual flux polygon. The use of a virtual flux polygon instead of a caustic triangle does however allow simple tracking of the wavefront flux density which results in a smooth interpolation of irradiance over the caustic receiver. Unlike Ernst et al. [EAMJ05] we do not explicitly average the flux density over neighbouring beams and it might still happen that a virtual flux triangle has an area of zero (and flux density of infinity) for certain fragments. In practice though this does not seem to be a problem if the beams are generated with four or more vertices.

All objects in the scene are modelled with brushes (convex polytopes) defined from binary space partition planes or vertices. The scattered beams are regenerated by the CPU directly from the brush faces and simple local visibility is included by only scattering from the front side of a brush face. The beams are then stored in the HCBV acceleration

submitted to COMPUTER GRAPHICS Forum (2/2011)

structure which is similar to a two dimensional kd-tree. The beams are spatially partitioned according to the beam origin under the assumption that co-located beams would have a similar direction and field of view (FOV). Hierarchical cone bounding volumes are then set up to provide the hierarchical space partition required for efficient traversal. The smaller the overlap between neighbouring bounding cones can be made the more efficient the traversal becomes. Currently the hierarchical traversal performs similar to a linear beam traversal until the scattered beam set becomes larger than approximately 4000 beams at which point the hierarchical traversal becomes much faster.

To accelerate the forward ray tracer's brush traversal the spherical bounding volumes of the brushes are stored in a hierarchical bounding volume acceleration structure which is also similar to a two dimensional kd-tree. The bounding volumes at each level in the tree provide the hierarchical space partition required for efficient traversal. The smaller the overlap between neighbouring bounding spheres can be made the more efficient forward ray tracing becomes.

3.2. Summary of Limitations

The advantage of previous methods of being able to illuminate any type of diffuse receiver, whether it be curved or flat, is preserved. This backward polygon beam tracing model of light transport does however have the limitation that the specular scattering polygon's solid angle from the light source should be small. This would require that scattering surfaces close to the light source be appropriately subdivided.

The biggest limitation is of course that backward polygon beam tracing only models scattering from specular surfaces while most real surfaces such as car paint and porcelain are not perfectly specular but glossy.

4. Glossy Backward Polygon Beam Tracing

Traditional backward polygon beam tracing models the light transport paths as radiated from a point light source, then scattered by a specular polygonal surface and finally scattered (second bounce) by a Lambertian (diffuse) surface before reaching the eye. We generalise this model by investigating the shape of the light beam that is required to model single scatter *glossy* interactions.

The glossy material model used in this paper is one of specular microfacets with a negligible diffuse component. Torrance and Sparrow [TS67] assumed such microfacets' gradients are random with a Gaussian distribution around the average surface normal. Although this assumption has since been improved upon by Cook and Torrance [CT82] we still make the Gaussian assumption for the sake of simplicity. We refer to a specular material with a significant microfacet variance as a Glossy material (G interaction) while an *S interaction* is reserved to refer to an interaction from a specular



Figure 4: A fragment lit by a polygon scatterer source.



Figure 5: The flux plane and flux polygon $A_F B_F C_F$ (For convenience Figure 3 is reproduced here).

material with an insignificant microfacet variance such as a mirror.

4.1. Analysing LGDE Transport Paths

Figure 4 shows a polygon scatterer illuminating a fragment F on the ground plane. P is a point on the scatterer. The average flux density (or irradiance $\overline{E_T}$) over the virtual flux polygon ($A_FB_FC_F$ in Figure 5) is simply equal to the total flux divided by the surface area of the polygon i.e.:

$$\overline{E_T} = \frac{\Phi_s}{A_\perp},$$

1

where A_{\perp} is the flux polygon's area and Φ_s is the specular beam flux. The flux polygon lies on a flux plane that includes

the fragment position, but is orthogonal to the average specular scatter direction. The flux reflected by $A_F B_F C_F$ from the point light is conserved within the scattered beam due to the coherency of the specular transport paths. Φ_s is consequently equal to the radiant intensity I_{light} of the point light multiplied by the solid angle Ω_T of the polygon scatterer as measured from the light. That is:

$$\Phi_s = I_{light} \Omega_T.$$

For a narrow specular beam (i.e. a small Ω_T) the incoming radiance angle θ over the fragments within the beam is approximately constant. For simplicity we therefore assume that the average specular scatter direction may be used to approximate the fragment irradiance E_F (flux per unit *surface* area) for all fragments illuminated by specular beams i.e:

$$E_F = E_T \cos \theta$$
$$E_F = \frac{\Phi_s}{A_{\perp}} \cos \theta, \qquad (1)$$

where θ is the incoming radiance angle between the fragment's surface normal and the average specular scatter direction.

For LSDE transport paths the energy density at F is the result of an energy contribution from a single specular scattering direction. The fragment irradiance *within and outside* of the specular beam may therefore be expressed as:

$$E_F = \frac{\Phi_s}{A_\perp} \cos\theta \int_{\Omega} \delta(\vec{\phi}) d\vec{\phi}.$$
 (2)

As shown in Figure 4, ϕ is the angle between *PF* (the transport path under consideration) and the specular scatter direction. The domain Ω is the domain of ϕ angles over the scatterer and δ () is the Dirac delta function.

 $\int_{\Omega} \delta(\vec{\phi}) d\vec{\phi} = 1 \text{ when } \vec{0} \in \Omega \text{ and } \int_{\Omega} \delta(\vec{\phi}) d\vec{\phi} = 0 \text{ when } \vec{0} \notin \Omega.$ The fragment irradiance given by Equation 2 therefore reduces to $E_F = \frac{\Phi}{A_{\perp}} \cos \theta$ (Equation 1) when $\vec{0} \in \Omega$ and to $E_F = 0$ when $\vec{0} \notin \Omega$. In other words, the fragment is illuminated only when an LSDE transport path can be found that connects the fragment to the light source via the scatterer. Figure 6 visually shows how, *in two dimensions*, the specular beam irradiance that is received at the fragment's position may be expressed as an integral over a Dirac delta function.

When the fragment is instead illuminated by a glossy scatterer (LGDE transport paths) the energy density can only be expressed as the result of integrating the glossy scattered contributions from *all* points on the polygon scatterer. The Dirac delta probability distribution is therefore replaced by a glossy probability distribution $\rho(\vec{\phi})$:

$$E_F = \frac{\Phi_s}{A_\perp} \cos\theta \int_{\Omega} \rho(\vec{\phi}) d\vec{\phi}.$$
 (3)

A fragment's illumination is now due to the weighted sum

submitted to COMPUTER GRAPHICS Forum (2/2011).



Figure 6: A 2D side view of a fragment lit by a specular polygon source. Note that a specular transmissive polygon is shown to simplify the drawing.

over *many* LGDE transport paths. Figure 7 visually shows how, *in two dimensions*, the glossy beam irradiance that is received at the fragment's position may be expressed as an integral over a glossy distribution function. Note Φ_s still represents the flux carried in the beam and that the specular scatter direction is the mean of the probability distribution. Also, because of the widening of the beam a fragment can be within the glossy beam even though it would be outside of the specular flux beam.

The integral part of Equation 3 (viz. $\int_{\Omega} \rho(\vec{\phi}) d\vec{\phi}$) is an expression for the volume over the 2D integration domain Ω and under the scatter distribution $\rho(\vec{\phi})$. The calculation of the volume may be replaced by a piecewise approximation:

$$\int_{\Omega} \rho(\vec{\phi}) d\vec{\phi} = \sum_{i=1}^{n} \rho_i$$
$$\approx \sum_{i=1}^{n} \overline{\rho_i} \int_{\Omega_i} d\vec{\phi}$$
$$= \sum_{i=1}^{n} \overline{\rho_i} \Omega_i,$$

where ρ_i is the probability over Ω_i , $\overline{\rho_i}$ is the average of the probability function over Ω_i and $\Omega_1 + \Omega_2 + ... + \Omega_n = \Omega$.



Figure 7: A 2D side view of a fragment lit by a glossy polygon source. Note that a glossy transmissive polygon is shown to simplify the drawing.

This approximation leads to:

1

$$E_F = \frac{\Phi_s}{A_{\perp}} \cos \theta \int_{\Omega} \rho(\vec{\phi}) d\vec{\phi}$$

$$= \frac{\Phi_s}{A_{\perp}} \cos \theta \sum_{i=1}^n \rho_i, \qquad (4)$$

$$\approx \frac{\Phi_s}{A_{\perp}} \cos \theta \sum_{i=1}^n \overline{\rho_i} \Omega_i.$$

4.2. Modelling LGDE Transport Paths

Equation 4 is applied to express the per fragment irradiance from a glossy beam as a function of $P_E = \sum_{i=1}^{n} \rho_i$. The final irradiance received at the fragment's position is equal to P_E , multiplied by the specular beam irradiance on the flux plane and projected to the fragment with $\cos \theta$.

For the glossy material model the scatter distribution $\rho(\vec{\phi})$ is a normalised 2D Gaussian distribution. The average of the distribution is the specular (smooth surface) scatter direction and it is assumed that the scatter distribution is spherically symmetric with a constant variance over the scatterer.



Figure 8: The fragment in the flux plane and a triangular integration domain Ω .

The following two important aspects of the light transport model are described in more detail:

- Section 4.2.1: Finding an efficient mapping from the world space domain of the polygon scatterer to a 2D domain in Ω.
- Section 4.2.2: Approximating the volume P_E over the domain Ω and under the 2D Gaussian probability distribution.

4.2.1. Mapping from World Space to a 2D Triangular Domain

Figure 8 shows the flux triangle $A_F B_F C_F$ and the scatterer triangle vertices A_W , B_W and C_W . The scatter angles ϕ_a , ϕ_b and ϕ_c between the lines $A_W F$, $B_W F$ and $C_W F$ and their associated specular scatter directions $A_W A_F$, $B_W C_F$ and $C_W C_F$ (see angle ϕ_a and ϕ_b in Figure 7) may be directly calculated.

Points A, B and C are chosen on the edge of the domain and placed at angular distances ϕ_a , ϕ_b and ϕ_c from the centre $(\vec{\phi} = \vec{0})$ to represent the scatterer vertices in the angular domain. Since the angles ϕ_a , ϕ_b and ϕ_c were measured from the fragment position, $\vec{\phi} = \vec{0}$ represents the fragment position in the angular domain.

Once the angular distances to the vertices A, B and C are known then only their relative directions are required to calculate their positions using. The relative directions of the vertices around the centre of Ω , viz. *O*, are taken to be the same as the relative directions of A_F , B_F and C_F around *F* (shown in Figure 8). The mean of the Gaussian distribution is only inside Ω when the fragment is within the specular flux triangle and outside otherwise.

To construct the *A*, *B* and *C* vertices on the edge of Ω , the normalised direction vectors $(\vec{l}_a = \frac{A_F - F}{\|A_F - F\|}, \vec{l}_b = \frac{B_F - F}{\|B_F - F\|}$ and $\vec{l}_c = \frac{C_F - F}{\|C_F - F\|}$) from the fragment position to each of the vertices in the flux plane are first calculated. The vertex A is finally expressed as $A = \phi_a \cdot \vec{l}_a$. Similarly vertex B may be expressed as $B = \phi_b \cdot \vec{l}_b$ and vertex C as $C = \phi_c \cdot \vec{l}_c$. Triangle *ABC* accordingly lies on a plane parallel to the flux plane, but now in a frame of reference that has the fragment position at its centre *O*. The distances *AO*, *BO* and *CO* are angles and equal to ϕ_a , ϕ_b and ϕ_c respectively.

4.2.2. Approximating the Volume under a 2D Gaussian

The volume over the triangular domain under a 2D Gaussian distribution does not have an analytical solution and must be approximated. There are two conditions on the volume calculation to prevent visual anomalies:

- To prevent visual anomalies for a single triangle scatterer there should be no discontinuities between the volumes calculated for fragment positions within and outside of the flux triangle, and
- to prevent visual anomalies for a plate/mesh of polygon scatterers the probability distribution must be approximated as close as possible.

Note that the last condition holds regardless of the distribution, but a Gaussian distribution approximates the specular microfacet reality.

We approximate the 2D Gaussian probability distribution with a 3D lookup table that contains the pre-calculated probability ρ_i (from Equation 4) over a triangle AOB such as shown in Figure 9. Therefore to calculate P_E , the probability (volume under the distribution) of each of the domain triangles *AOC*, *BOC* and *COA* is looked up and summed. This summation is done regardless of whether or not the fragment is inside or outside of the specular flux triangle *ABC*. The only point to take note of is that the lookup table result is multiplied by the sign of the triangle area (e.g. sign of $\phi_a \cdot \vec{l}_a \times \phi_b \cdot \vec{l}_b$). A typical table of $128 \times 128 \times 128$ float entries has a size of 8 MByte and may be used as shown in Figure 10 to calculate the fragment irradiance. The normal distribution is scene independent and currently computed offline using rejection sampling.

We found that quantisation errors in the table indices for small triangular domains propagated to the image as noise.



Figure 9: The lighting integral may be approximated as a function of the volume under a normalised 2D Gaussian function over a triangular domain ABC (also shown in Figure 8). Left: The fragment, located at O, is within the specular flux triangle. Right: The fragment, located at O, is outside the specular flux triangle.

Figure 10: Calculation of the volume P_E from a table lookup.

over a triangle AOB such as shown in Figure 9.

We reduced this noise by forcing probability values smaller than 0.01 (i.e. 1%) to zero.

5. Results and Analysis

We compare the performance of glossy backward beam tracing to that of a similar implementation of specular backward beam tracing. The quality and performance of glossy backward beam tracing is also compared to that of photon mapping as traditional specular beam tracing cannot render LGDE transport paths.

The point light source and Gaussian microfacet material models that we implemented in the backward beam tracer are also used in the photon mapping implementation. For comparison to photon mapping the standard deviation of the scatter distribution of the glossy beam must be related to the standard deviation of the microfacets of the material model.

submitted to COMPUTER GRAPHICS Forum (2/2011).



Figure 11: Traditional specular backward beam tracing with a tricolour reflective wall.



Figure 12: Glossy backward beam tracing with a $30\% 4 \times$ microfacet scatter distribution on the surface of the tricolour reflector.

Using the law of reflection, the standard deviation of the microfacets is taken to be approximately half that of the scatter distribution.

We implemented a Whitted [Whi80] forward ray tracer to traverse either the set of scattered glossy beams or a caustic photon map for rendering the L(S|G)DE transport paths. All performance measurements are done on a Macbook Pro with a 2.26 GHz Intel Core 2 Duo CPU running OSX 10.6.6. OpenMP is used to accelerate the ray tracing over the available two CPU cores and all frames are rendered at a resolution of 640x480 with one sample per pixel. The scatter distribution's standard deviation σ is specified as a function of a percentage P, $4\sigma = \frac{P}{100} \frac{\Pi}{2}$, which is referred to as the "4× scatter distribution standard deviation" (4SD).

5.1. Results

Figure 11 shows specular backward beam tracing running at 6.8 frames per second. Figure 12 and Figure 13 show glossy backward beam tracing running at 6.5 frames per second.



Figure 13: Glossy backward beam tracing with a 0%, 10% and 30% 4 × microfacet scatter distribution on the surface of the tricolour reflector.



Figure 14: Backward beam tracing (left) compared to photon mapping (right) of a ring object (also see Figure 1) with a scatter distribution variance of 0; only the L(S|G)DE transport paths are rendered. The difference image (bottom, centre) is shown at the same brightness scale.

Note that the microfacet variance does not impact the execution performance, but the larger cone bounding volumes might reduce the performance for complex scenes as shown in Table **??**. The added cost of the glossy backward beam tracer over that of the specular beam tracer is *only* the cost of the probability distribution table lookups. Three lookups are required for a beam scattered from a triangle primitive, four lookups if scattered from a quad primitive, etc.

In Figure 14 backward beam tracing (left) is compared to a reference photon map implementation (right) with a microfacet standard deviation of 0%. The difference image (bottom, centre) is shown at the same brightness scale. Note that only the L(S|G)DE transport paths are rendered. The ring object (see Figure 1) is constructed out of 75×5 scattering surfaces on the inside and also on the outside and renders in 2.5 seconds per frame. The forward ray tracer is capable of tracing 600k rays per second on this scene and rendering the ring object without the L(S|G)DE paths takes only 0.5 seconds.

The reference images are rendered in approximately 40 seconds with 420k photons radiated in the direction of the ring and 100k scattered photons absorbed into the caustic photon map. Most of the time is spent doing kNN queries as the photon tracer is capable of tracing 600k rays per second,



Figure 15: Backward beam tracing (left) compared to photon mapping (right) of a ring object (also see Figure 1) with a $4 \times$ scatter distribution standard deviation of 10%; only the L(S|G)DE transport paths are rendered. The difference image (bottom, centre) is shown at the same brightness scale.



Figure 16: Backward beam tracing (left) compared to photon mapping (right) of a ring object (also see Figure 1) with a $4 \times$ scatter distribution standard deviation of 30%; only the L(S|G)DE transport paths are rendered. The difference image (bottom, centre) is shown at the same brightness scale.

but our implementation of the kNN query does 8k queries per second for k = 120. The caustic photon map is rendered directly and a cone filter is applied in the radiance estimate during forward rendering.

Figure 15 shows the comparative results for a 4 \times scatter distribution standard deviation of 10.0%. Again there is a fairly good match between the glossy beam tracing and photon mapping results. Figure 16 shows the comparative results for a 4 \times scatter distribution standard deviation of 30.0%.

5.2. Analysis and Limitations

The difference images shows that there is a good match between the overall shape and radiance of backward beam tracing and photon mapping. Indeed the backward beam tracer produces a sharper caustic than the photon map used here.

With the photon map it takes almost 40 seconds to render the ring scene while the beam tracers renders it in 2.5 seconds. This of course due to the forward renderer only having to traverse a hierarchy of 375 beams to light a fragment instead of doing radiance estimate (kNN query) from a kd-tree with 100k photons.

The added cost of the glossy backward beam tracer over that of the specular beam tracer is *only* the probability distribution table lookups. In this implementation the overhead amounts to about a 5% performance difference between glossy and specular backward beam tracing.

The glossy backward beam tracing model of L(S|G)DE transport paths is physically based and uses a specular microfacet material model. The following approximations are included to allow a simpler model and an efficient implementation:

- The irradiance is assumed to be constant over the flux polygon and the average specular scatter direction is used for fragment shading.
- The scatter distribution is currently assumed to be radially symmetric and to have a constant variance over the polygon.
- The integration domain Ω is approximated by a triangular domain *ABC*.

Removing or improving any of the approximations would improve the fidelity of the glossy backward polygon beam model to better approximate reality. Glossy backward beam tracing is nonetheless able to render single scatter caustics approaching the quality of that of a 100k photon map with a relatively low number of scattered glossy beams.

6. Conclusion

6.1. Discussion

A large number of photons or rays are typically required to render LGDE transport paths with techniques such as ray tracing and photon mapping. It is consequently traditionally difficult to render these transport paths efficiently.

To address the problem of efficiency we have re-expressed the problem of solving the lighting integral as a problem of calculating the volume under a 2D probability distribution. The generalised backward polygon beam tracing technique now provides a lumped model of L(S|G)DE transport paths. We have therefore shown that the efficiency of backward beam tracing in gathering path coherency can also be exploited for non-specular surfaces. The added overhead of

submitted to COMPUTER GRAPHICS Forum (2/2011).

this generality is however only the cost of the probability distribution table lookups.

The remaining limitations of L(S|G)DE backward polygon beam tracing are:

- As with other finite element methods including high frequency surface detail and curved scattering surfaces require a surface subdivision step to set up the beams.
- The approximations listed in Section **??** that limit the model fidelity.

As far as future work is concerned, Cook and Torrance [CT82] have already considered the benefits of using other microfacet distribution for computer graphics. A more accurate microfacet distribution should also be applied to the glossy beam transport model and one might even be able to generalise the distribution and model to include the diffuse component of the surface BRDF. The light field is also only implicitly specified by the glossy beams. The glossy beams do not encode enough information to deduce the light field's angular distribution. This information is required to model multi-scatter glossy caustics and area light sources.

OpenMP is currently used to generate the beams concurrently on the multiple processor cores of the host CPU. One can consider the use of CUDA and OpenCL to accelerate the beam construction on GPUs when large scenes are rendered, but for the scene shown the beam construction takes only about 1% of the total time to render a frame. An approach similar to the *light image* by Briere and Poulin [BP00] should also be investigated further to efficiently set up and manage the backward beams.

We would however like to port the ray trace forward renderer to NVIDIA's OptiX ray engine to access GPU acceleration while maintaining the accuracy of ray tracing. Similar to the CPU implementation, when a caustic receiver is intersected a GPU ray shader would traverse the glossy beam set and execute the pseudo code shown in Figure 10.

References

- [Arv86] ARVO J.: Backward ray tracing. In Developments in Ray Tracing, SIGGRAPH Course Notes, Vol. 12. (1986). 2
- [BP00] BRIÈRE N., POULIN P.: Adaptive representation of specular light flux. In *Graphics Interface* (May 2000), pp. 127–136. 1, 2, 10
- [CC95] CHUANG J.-H., CHENG S.-A.: Computing caustic effects by backward beam tracing. *The Visual Computer 11*, 3 (1995), 156–166. 2, 3
- [CT82] COOK R. L., TORRANCE K. E.: A reflectance model for computer graphics. ACM Trans. Graph. 1, 1 (1982), 7–24. 4, 10
- [DBK10] DUVENHAGE B., BOUATOUCH K., KOURIE D.: Exploring the use of glossy light volumes for interactive global illumination. In AFRIGRAPH '10: Proceedings of the 7th International Conference on Computer Graphics, Virtual Reality, Visualisation and Interaction in Africa (New York, NY, USA, 2010), ACM, pp. 139–148. 2

- [EAMJ05] ERNST M., AKENINE-MÖLLER T., JENSEN H. W.: Interactive rendering of caustics using interpolated warped volumes. In *GI '05: Proceedings of Graphics Interface 2005* (School of Computer Science, University of Waterloo, Waterloo, Ontario, Canada, 2005), Canadian Human-Computer Communications Society, pp. 87–96. 1, 2, 3
- [Hec90] HECKBERT P.: Adaptive radiosity textures for bidirectional ray tracing. In SIGGRAPH '90: Proceedings of the 17th annual conference on Computer graphics and interactive techniques (New York, NY, USA, 1990), ACM, pp. 145–154. 1
- [HH84] HECKBERT P. S., HANRAHAN P.: Beam tracing polygonal objects. In SIGGRAPH '84: Proceedings of the 11th annual conference on Computer graphics and interactive techniques (New York, NY, USA, 1984), ACM, pp. 119–127. 2
- [HOJ08] HACHISUKA T., OGAKI S., JENSEN H. W.: Progressive photon mapping. In SIGGRAPH Asia '08: ACM SIGGRAPH Asia 2008 papers (New York, NY, USA, 2008), ACM, pp. 1–8. 3
- [IDN02] IWASAKI K., DOBASHI Y., NISHITA T.: An efficient method for rendering underwater optical effects using graphics hardware. *Computer Graphics Forum 21*, 4 (2002), 701–711. 2
- [IDN03] IWASAKI K., DOBASHI Y., NISHITA T.: A fast rendering method for refractive and reflective caustics due to water surfaces. *Computer Graphics Forum 23*, 3 (2003), 601–609. 2
- [IZT*07] IHRKE I., ZIEGLER G., TEVS A., THEOBALT C., MAGNOR M., SEIDEL H.-P.: Eikonal rendering: efficient light transport in refractive objects. In SIGGRAPH '07: ACM SIG-GRAPH 2007 papers (New York, NY, USA, 2007), ACM, p. 59. 3
- [ML09] MCGUIRE M., LUEBKE D.: Hardware-accelerated global illumination by image space photon mapping. In *HPG* '09: Proceedings of the Conference on High Performance Graphics 2009 (New York, NY, USA, 2009), ACM, pp. 77–89. 3
- [NMN87] NISHITA T., MIYAWAKI Y., NAKAMAE E.: A shading model for atmospheric scattering considering luminous intensity distribution of light sources. *SIGGRAPH Comput. Graph.* 21, 4 (1987), 303–310. 2
- [NN94] NISHITA T., NAKAMAE E.: Method of displaying optical effects within water using accumulation buffer. In SIG-GRAPH '94: Proceedings of the 21st annual conference on Computer graphics and interactive techniques (New York, NY, USA, 1994), ACM, pp. 373–379. 2
- [SJ09] SPENCER B., JONES M. W.: Into the blue: Better caustics through photon relaxation. In Eurographics 2009: Proceedings of the 30th Annual Conference of the European Association for Computer Graphics (2009). 3
- [SKP07] SHAH M. A., KONTTINEN J., PATTANAIK S.: Caustics mapping: An image-space technique for real-time caustics. *IEEE Transactions on Visualization and Computer Graphics* 13, 2 (2007), 272–280. 3
- [SZS*08] SUN X., ZHOU K., STOLLNITZ E., SHI J., GUO B.: Interactive relighting of dynamic refractive objects. In SIG-GRAPH '08: ACM SIGGRAPH 2008 papers (New York, NY, USA, 2008), ACM, pp. 1–9. 3
- [TS67] TORRANCE K. E., SPARROW E. M.: Theory for offspecular reflection from roughened surfaces. *Journal of the Optical Society of America* 57, 9 (1967), 1105–1114. 4
- [UPSK08] UMENHOFFER T., PATOW G., SZIRMAY-KALOS L.: Caustic triangles on the gpu. In CGI 2008: Proceedings of the 26th Computer Graphics International Conference (2008). 3
- [Wat90] WATT M.: Light-water interaction using backward beam tracing. In SIGGRAPH '90: Proceedings of the 17th annual conference on Computer graphics and interactive techniques (New York, NY, USA, 1990), ACM, pp. 377–385. 1, 2, 3

submitted to COMPUTER GRAPHICS Forum (2/2011).

10

- [Whi80] WHITTED T.: An improved illumination model for shaded display. Commun. ACM 23 (June 1980), 343–349. 3, 8
- [Wym08] WYMAN C.: Hierarchical caustic maps. In I3D '08: Proceedings of the 2008 symposium on Interactive 3D graphics and games (New York, NY, USA, 2008), ACM, pp. 163–171. 3
- [ZHWG08] ZHOU K., HOU Q., WANG R., GUO B.: Real-time kd-tree construction on graphics hardware. ACM Trans. Graph. 27, 5 (2008), 1–11. 3