

LA METHODE DU LANCER

DE RAYON

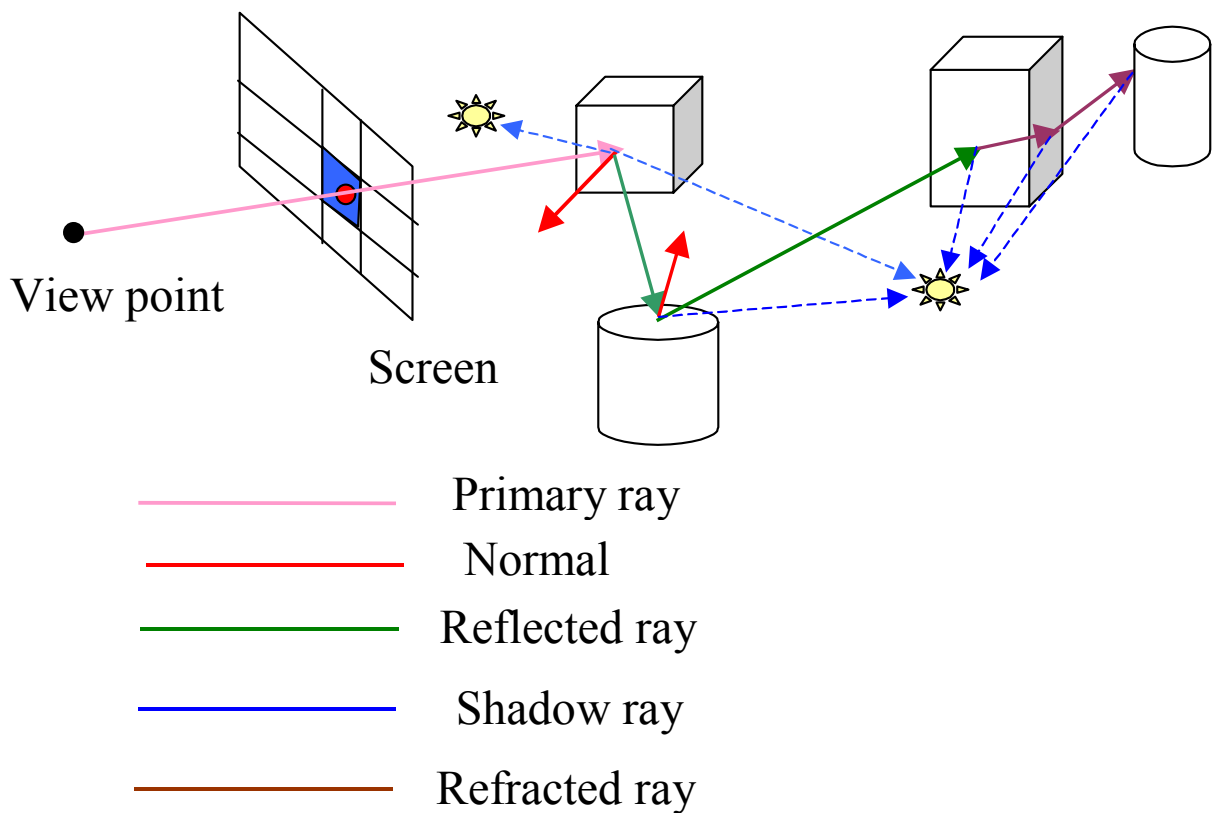
Kadi BOUATOUCH

En anglais :

RAY TRACING

RAY CASTING

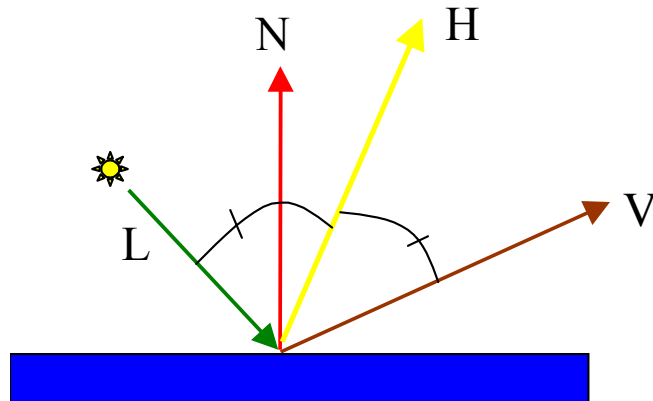
PRINCIPE



- Trace a primary ray passing through a pixel
- P : intersection point
- Compute the contribution of the sources to P by tracing shadow rays toward the light sources.
- If a shadow ray intersects an opaque object between P and the light source then P is shadowed
- Compute the contribution to P of other points within the scene by tracing secondary rays: reflected and refracted
- A reflected ray is traced only if the material is specular
- A refracted ray is traced only if the material is transparent
- A secondary ray intersects the scene at a point P'
- Again compute the contribution of the sources to P' by tracing shadow rays toward the light sources.
- Repeat the process
- Each ray brings its contribution to the luminance of a point

THE ILLUMINATION MODEL

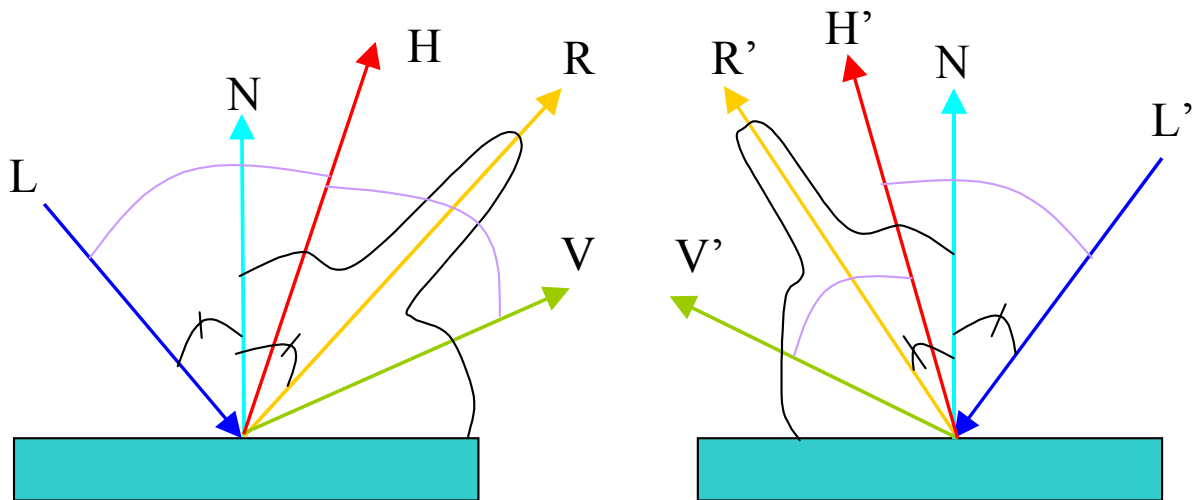
The specular reflection



- $I_r = k_s \cdot \langle N, H \rangle^n \cdot I_{\text{source}}$
- If the surface is perfectly specular n is very large
- $\langle N, H \rangle^n$ is not negligible only for $(N, H) = 0$
- Thus $I_r = k_s \cdot I_{\text{source}}$
- $(N, H) = 0$ means that the incident and reflection angles are equal

THE ILLUMINATION MODEL

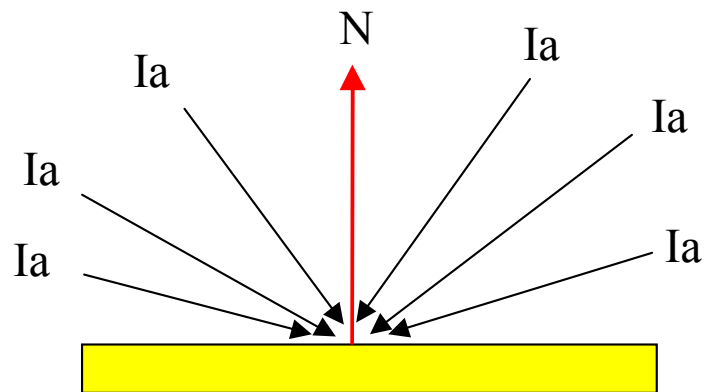
Reciprocity of the reflection model



- Suppose $(L', N) = (V, N)$ and $(V', N) = (L, N)$
- Then : $(N, H) = (N, H')$
- $I_r = k_s \cdot \langle N, H \rangle^n \cdot I_s$
- $I_{r'} = k_s \cdot \langle N', H' \rangle^n \cdot I_{s'}$
- Thus : $k_s \cdot \langle N, H \rangle^n = k_s \cdot \langle N, H' \rangle^n$
- This is the reciprocity of the reflection model

THE ILLUMINATION MODEL

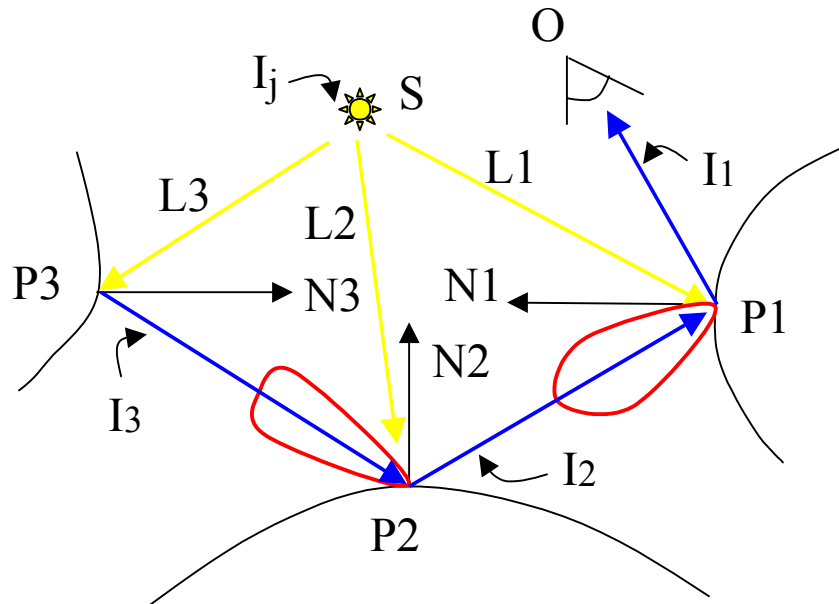
Ambient term



- The indirect diffuse component I_{id} due to multiple reflections is supposed to be the result of the diffuse reflection of an ambient term I_a
- I_a is the same for all the surfaces
- $I_{id} = kd \cdot I_{obj} \cdot I_a$

THE ILLUMINATION MODEL

The different components



- $H1$: bisecting line of angle $S P3 P2$
- $H2$: bisecting line of angle $S P2 P1$
- $H1$: bisecting line of angle $S P1 O$
- I_{da_i} : intensity due to direct lighting and the ambient term for point P_i
- $I_{da_i} = kd_i \cdot C_i \cdot I_a$
 $+ kd_i \cdot C_i \cdot I_s \cdot \cos(L_i, N_i)$
 $+ ks_i \cdot I_s \cdot \cos(N_i, H_i)^n$
- $I_3 = I_{da_3}$
- $I_2 = I_{da_2} + ks_2 \cdot I_3$
- $I_1 = I_{da_1} + ks_1 \cdot I_2$

THE ILLUMINATION MODEL

The complete illumination model

- Legend :
 - dd : direct diffuse
 - ds : direct specular
 - is : indirect specular
 - t : transmitted or refracted
 - I_{ref} : intensity carried by the reflected ray
 - I_{tran} : intensity carried by the refracted ray
 - C_i : object's color
 - I_j : intensity of light source j
- $I = I_{amb} + I_{dd} + I_{ds} + I_{is} + I_t$
- $I_{amb} = kd \cdot C_i \cdot I_a$
- $I_{dd} = kd_i \cdot C_i \cdot \sum_j I_j \cdot \cos(L_i, N_i)$
- $I_{ds} = ks \cdot \sum_j I_j \cdot \cos(N_i, H_i)^n$
- $I_{is} = ks \cdot I_{ref}$
- $I_t = kt \cdot I_{tran}$
- For a material : $kd + ks + kt = 1$

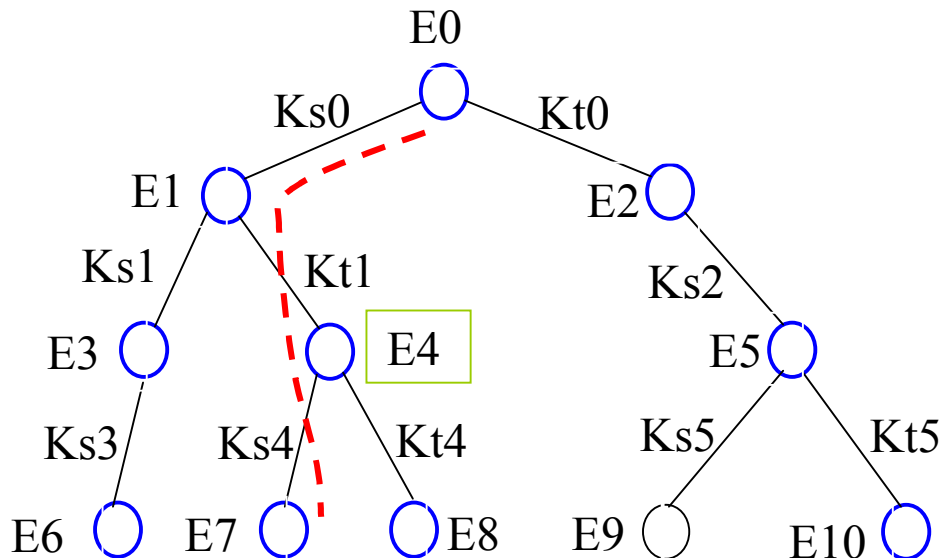
THE ILLUMINATION MODEL

The illumination algorithm

- Ray r : equation $P = P_0 + t \cdot D$
- $I(r) = I_{\text{amb}}(\text{inter}(r, \text{Scene}))$
 - + $\sum_j I_{\text{dd}}(j, \text{inter}(r, \text{Scene}))$
 - + $\sum_j I_{\text{ds}}(j, \text{inter}(r, \text{Scene}))$
 - + $k_s \cdot I(\text{reflected_ray})$
 - + $k_t \cdot I(\text{refracted_ray})$
- $I(r)$: recursive function calculating the global intensity brought by a ray r
- I_{amb} , I_{dd} and I_{ds} are functions computing the ambient, direct-diffuse and direct-specular components respectively
- Scene : data structure representing the scene
- Each source is indexed by j

THE ILLUMINATION MODEL

How to stop tracing rays ?



- I : Intensity due to this ray path :

$$I = Ks0 \cdot (Kt1 (Ks4 \cdot E7 + E4) + E1)$$

$$= Ks0 \cdot Kt1 \cdot Ks4 \cdot E7 + Ks0 \cdot Kt1 \cdot E4 + Ks0 \cdot E1$$

- E_i : intensities due to the light sources ; direct lighting
- Stop tracing rays when the cumulative product is below a certain threshold

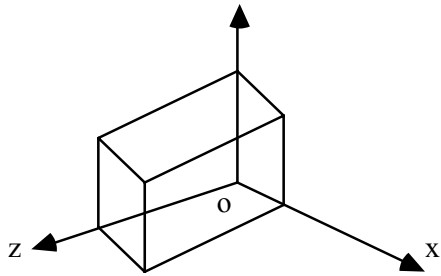
Intersection computation : principle

- The scene is supposed to be expressed in the world coordinate system (WCS).
- It may be: A set of independent objects
- An object may be a CSG tree (Constructive Solid Geometry) which is a binary tree whose leaves are primitive objects like sphere, cylinder, cone and whose nodes are boolean operators like union, intersection and difference.
- The purpose is to intersect a scene by a ray whose equation is given by :

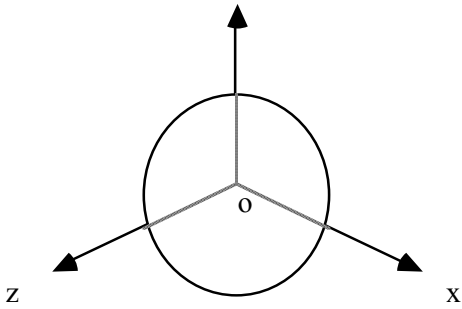
$$P = P_0 + t \cdot D$$

- where :
 - P_0 is the ray origin ;
 - $D = (dx, dy, dz)$ is the direction vector of the ray ;
- $t > 0$
- Intersection result = { t_i / t_i is a value of t corresponding to an intersection point }.
- Only the closest point to the ray origin, is used to compute the lights contribution and to shoot secondary rays.

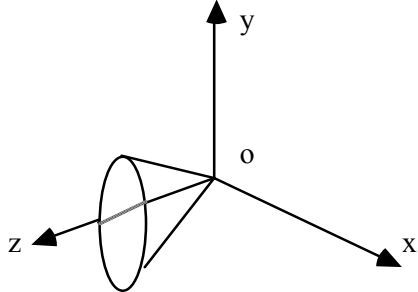
- To simplify the intersection computing, each object may be described in a local coordinates system (LCS)



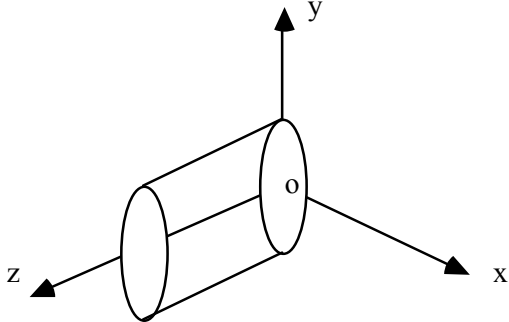
parallelepiped



sphere



cone



cylinder

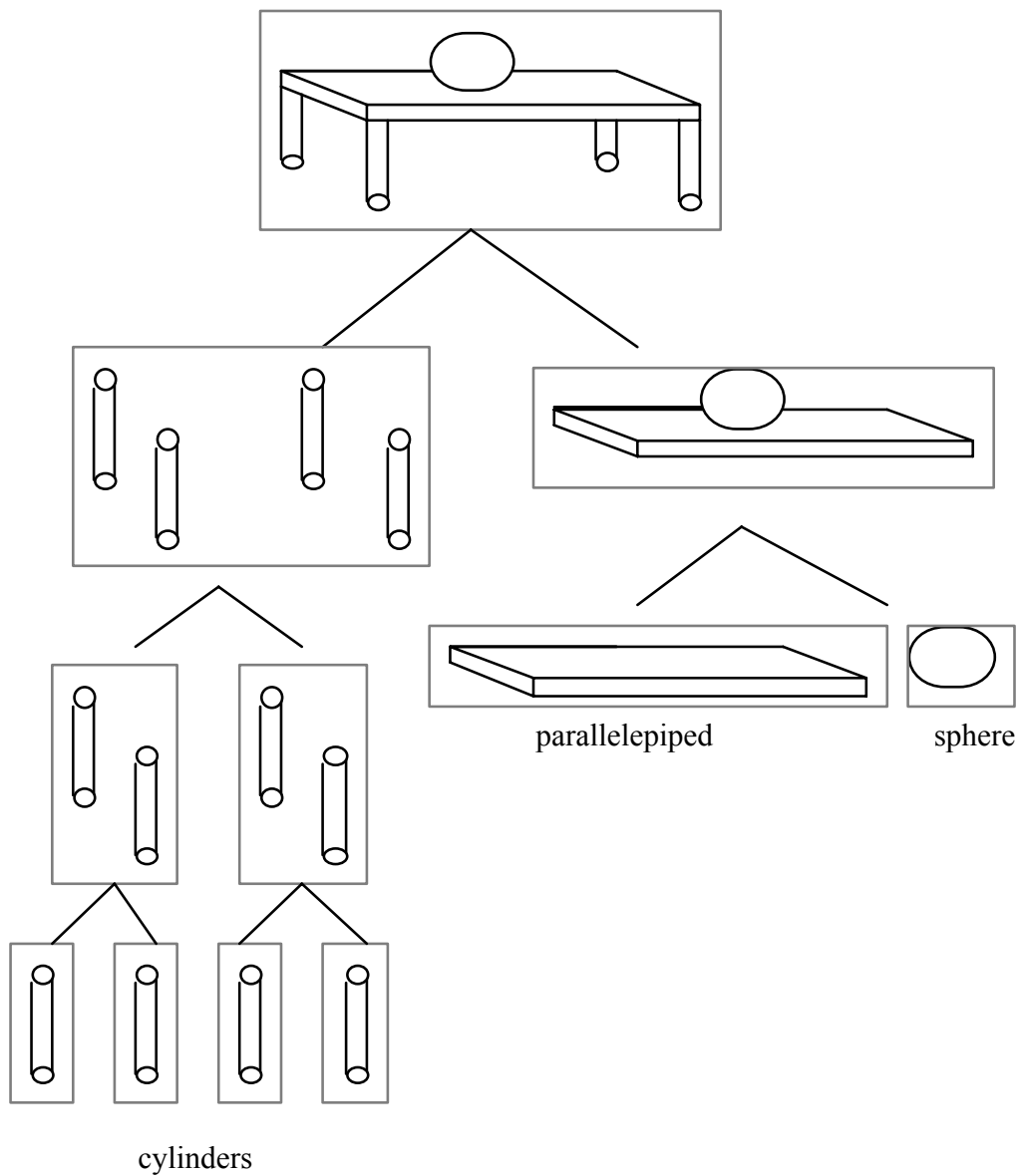
- In this case two transformation matrices are then associated with each object :
- the first one allows the transformation of a point in the WCS to a point in the local coordinates system,
- the second one allows the inverse transformation.
- Ray-object intersection is performed in the LCS. With this aim in view, the ray is transformed into the LCS.
- This simplifies both the computations of the ray-object intersection and that of the normal.
- Since t is a scalar, its value is not affected by this transformation.
- To compute the closest intersection point, the smallest value of t is substituted in the ray equation expressed in the WCS. The transformation LCS-WCS is then not necessary.
- As for the normal calculation, it is performed on the LCS, then it is transformed onto the WCS.

Bounding volumes

- To reduce the amount of ray-object intersections, it is absolutely necessary to use a hierarchical data structure .
- This data structure is a tree of bounding volumes.
- Bounding volumes are simple geometric objects which fit around the objects.
- They are chosen to be simple to intersect with a ray, such as spheres or parallelepipeds that have faces perpendicular to the axes.
- The building of this hierarchy consists in picking some of these bounding volumes and surrounding them with another bounding volume. This process is repeated recursively until a bounding volume is generated that surrounds the whole scene.

Bounding volumes

Example of hierarchy of bounding volumes : binary tree.

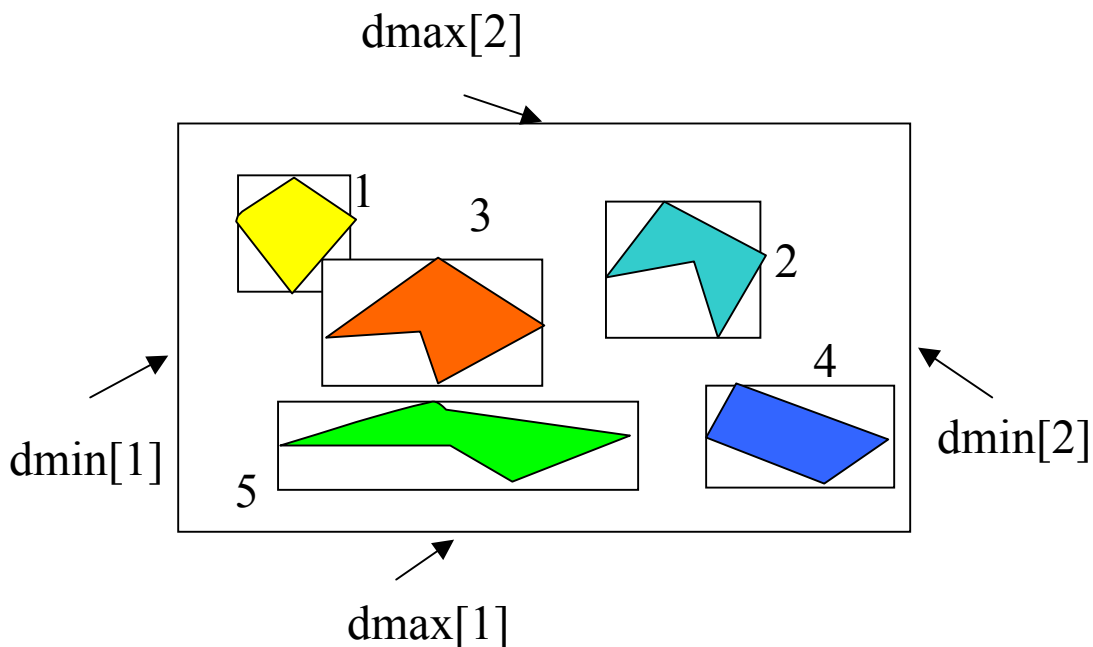


Bounding volumes

Hierarchy

- It is very important to find a way to choose a tree that reduces the rendering time.
- Trying to construct manually a tree is very tedious and not efficient.
- A better method consists in dividing the scene into halves along one axis and surround each half with a bounding volume. This process is applied recursively on each half.

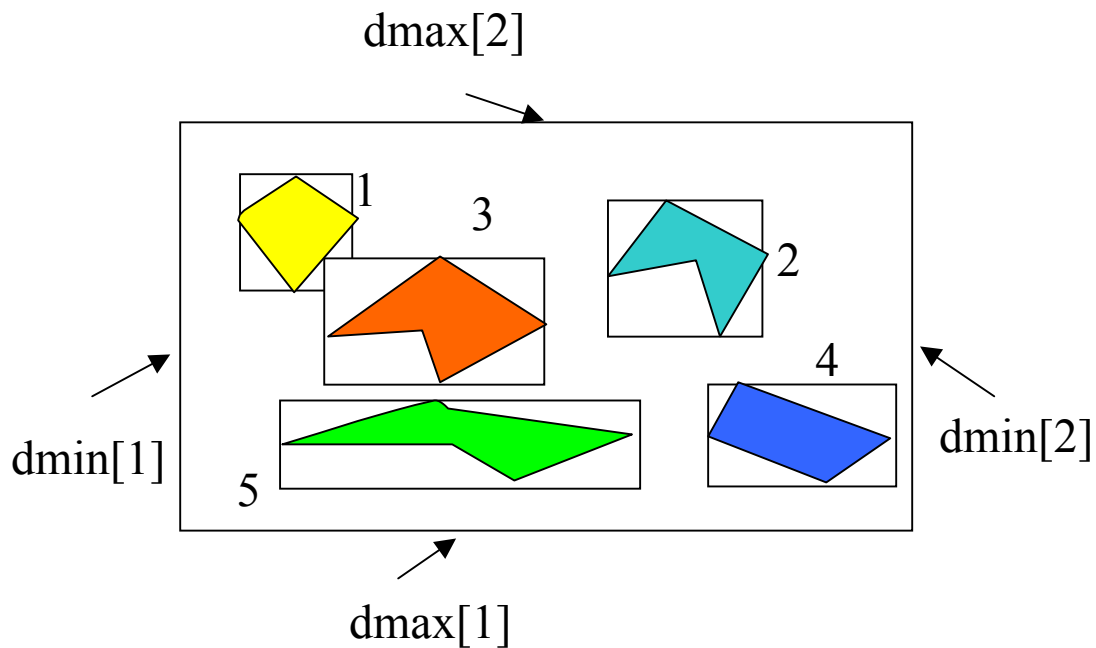
Median cut method



Bounding volumes

Hierarchy : Median cut method

1. Search for max slab
2. $L = \{\text{liste of bounding volume numbers}\}$
3. $d_{\max}[2] - d_{\min}[2]$ or $d_{\max}[1] - d_{\min}[1]$
4. In this example : $\max = d_{\max}[1] - d_{\min}[1]$
5. Then choose slab 1
6. Sort the bounding volumes with respect to increasing $d_{\min}[1]$
7. We get a sorted list $L = \{1,5,3,2,4\}$
8. Split L into two sub-lists $L1$ and $L2$
9. We get : $L1 = \{1,5,3\}$ $L2 = \{2,4\}$
10. Go to 1 with $L = L1$ then $L = L2$



Bounding volumes

Hierarchy: Median cut

Data structures

TYPE

- ttab_ptr_obj = array[1..Nb_obj] of integer ;
- tvol_engl = struct { /* bounding volume type */
 dmin : array[1..N_Slab] of real ;
 dmax : array[1..N_Slab] of real ;
}
- tengl_obj = struct { /* hierarchy node */
 tab : ttab_ptr_obj ;
 eng : tvol_engl ;
 number : integer ;
}
- obj = struct {
 vol_eng : tvol_engl ;
 par_geo : tparam_geom ;
 par_photo : tparam_photo ;
}
- ttab_obj = array[1..Nb_obj] of object ;
- tHier = array[1..Nmax] of tengl_obj ;

VAR

- tab_obj : ttab_obj ;
- Hier : tHier ;
- tabp : ttab_ptr_obj

Bounding volumes

Hierarchy Median cut : Algorithm

```
Procedure create_Hierarchy(tabp : ttab_ptr_obj ;
                          ind_beg, ind_end, depth : integer) ;
begin
  /* Compute bounding volume for tabp */
  /* Result : 2 arrays dmin and dmax */
  bounding_vol(tabp, dmin, dmax, ind_beg, ind_end) ;
  /* Hierarchy saved as a bin tree in an array Hier */
  for i := ind_beg to ind_end {
    Hier[depth].tab[i-ind_beg+1] := tabp[i] ;
  }
  Hier[depth].eng.dmin := dmin ;
  Hier[depth].eng.dmax := dmax ;
  Hier[depth].number := ind_end - ind_beg + 1 ;
  /* Stop splitting the list if the number of leaf' objects is
  smaller than Max_obj */
  if (ind_end - ind_beg - 1) <= Max_obj { return } ;
  index := 1 ;
  d_partition := Huge_Negative_Number ;
  for i := 1 to Nb_Slab {
    if (dmax[i] - dmin[i]) > d_partition
      { d_partition := dmax[i] - dmin[i] ; index := i }
  }
  /* List sorting with respect to increasing dmin[index] */
  quick_sort_wrt_dmin(tabp, index) ;
  m := ind_beg + n ;
  create_Hierarchy(tabp, ind_beg, m, 2*depth) ;
  create_Hierarchy(tabp, m + 1, ind_end, 2*depth + 1) ;
end
```

Bounding volumes

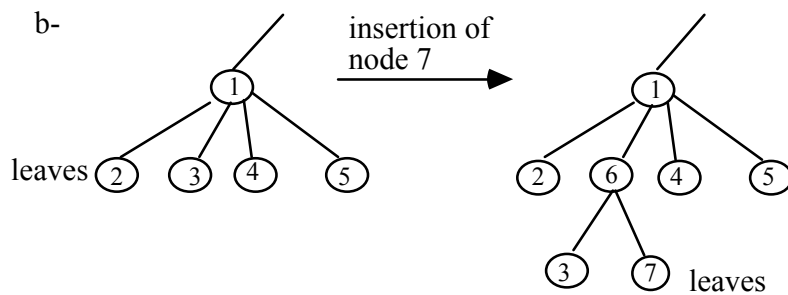
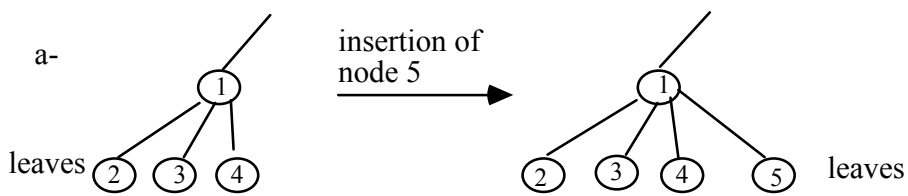
Hierarchy : Goldsmith's et al.'s method

- Interesting method: proposed by Goldsmith and Salmon .
- The used strategy is a heuristic tree search
- Objects are added successively and the tree is searched to find a suitable insertion point for each new node.
- Since not all nodes of the tree can be considered as a point for insertion, the search must follow only few paths.
- The choice of sub-trees to search from a given node is determined by the smallest increase in surface area of the node's bounding volume that would occur if the new node was to be inserted as a child of it.
- During the search, at each level of the tree, the new node is considered as a prospective child of each node that will be searched.
- The tree is evaluated with the proposed insertion and the location with the smallest increase in tree cost is saved.
- When the search reaches a leaf node, the new node and the leaf node are proposed as children of a new non leaf node.
- Bottom-up evaluation after each insertion of a new node

Bounding volumes

Hierarchy : Goldsmith's et al.'s method

Example of hierarchy



Bounding volumes

Hierarchy : CSG Model

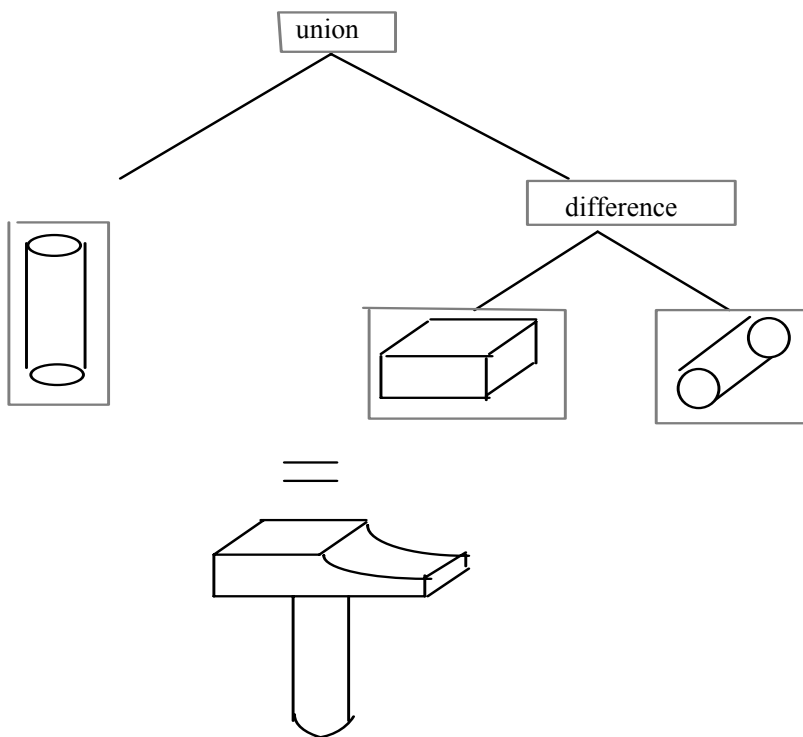
Case of CSG tree modelled scenes

- Data structure of each leaf of the CSG tree is extended by adding to it the bounding volume of the leaf.
- Bottom-up search of the tree in order to compute the bounding volumes of the non leaf nodes.
- These bounding volumes are in their turn added to the data structure of the associated nodes.
- Their evaluation depends on the boolean operator associated with the nodes.
- The bounding volume of the root bounds the whole scene.

Bounding volumes

Hierarchy : CSG Model

Case of CSG tree modelled scenes



Ray-scene intersection test using the hierarchy

- Once the hierarchy of bounding volumes has been built, the ray-scene intersection test is performed as follows.
- The hierarchy is searched from the root to the leaves.
- During this search, at a node N , the associated bounding volume is checked for an intersection with the current ray.
- If the bounding volume of N is intersected, those of its children node are in their turn checked for an intersection.
- This process is repeated recursively and ends up at the leaf nodes.
- Else, if the bounding volume of N is not intersected by the ray, the associated subtree is left out, that is, it is not searched.

Different kinds of bounding volumes

Parallelepiped

- For the sake of speed up, the faces of this bounding volume are perpendicular to the axes of the World Coordinates System.
- Its perspective projection onto the screen plane is often used to filter the primary rays (rays starting at the eye location).

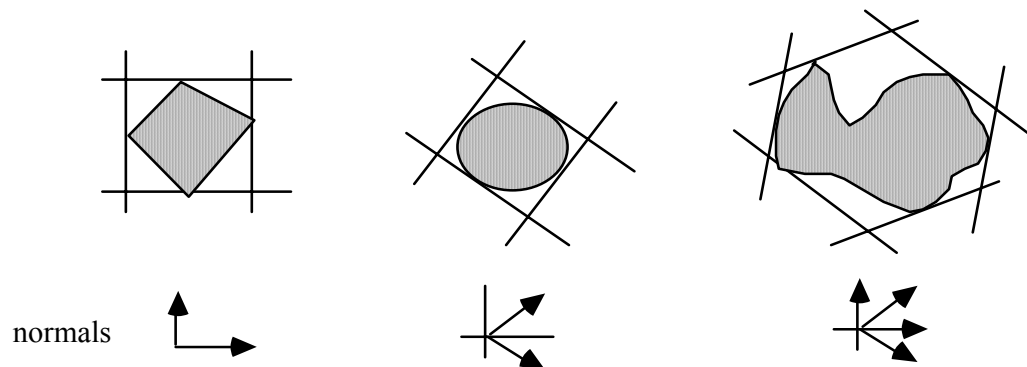
Sphere and Ellipsoid

- They may be used to filter the reflected and refracted rays and those directed to the light sources.

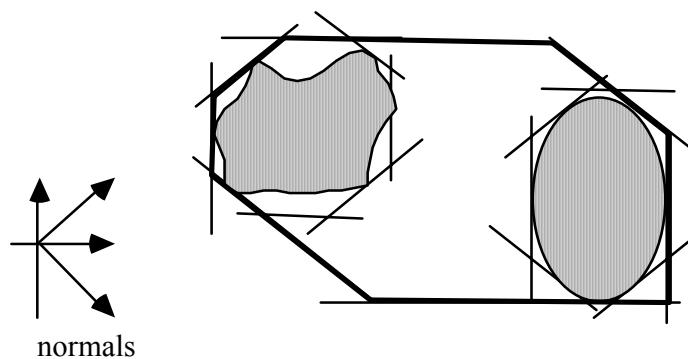
Different kinds of bounding volumes

Polyhedron : Intersection of Slabs

- The objects are bounded by polyhedra whose sizes may be different but whose faces' normals have constant direction vectors.
- These direction vectors as well as the number of faces are chosen by the user before the synthesis phase.
- Example of polyhedral bounding volumes.



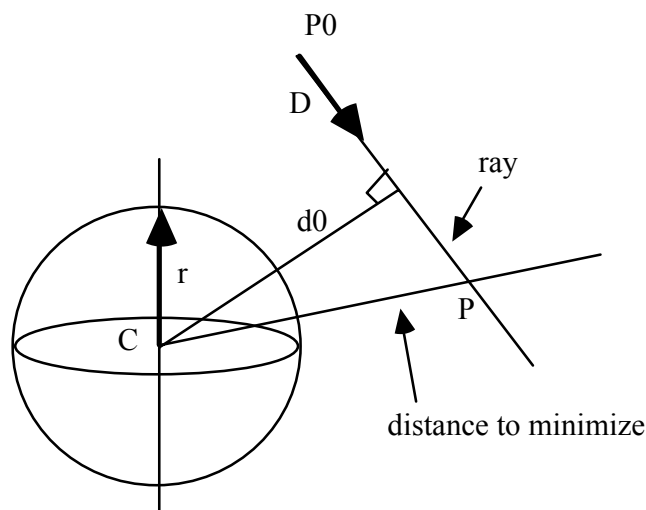
- It is easy to build a hierarchy with polyhedral bounding volumes.



Intersection Test

Sphere

- Orthogonal distance d_0^2 between the center of the sphere and the ray
- If d_0^2 is smaller than or equal to the square of the radius of the sphere, then the ray intersects the sphere, otherwise it does not intersect it



- Let C be the center of the sphere and let $P = P_0 + t \cdot D$ be the ray equation. d_0 is evaluated by minimizing the distance between C and a point P on the ray.

- This gives

$$d^2 = \| P_0 + t \cdot D - C \|^2 = \| P_0 - C \|^2 + 2t \cdot (P_0 - C) \cdot D + t^2 \cdot \| D \|^2$$

- By setting to 0 the derivative of d^2 , we obtain :

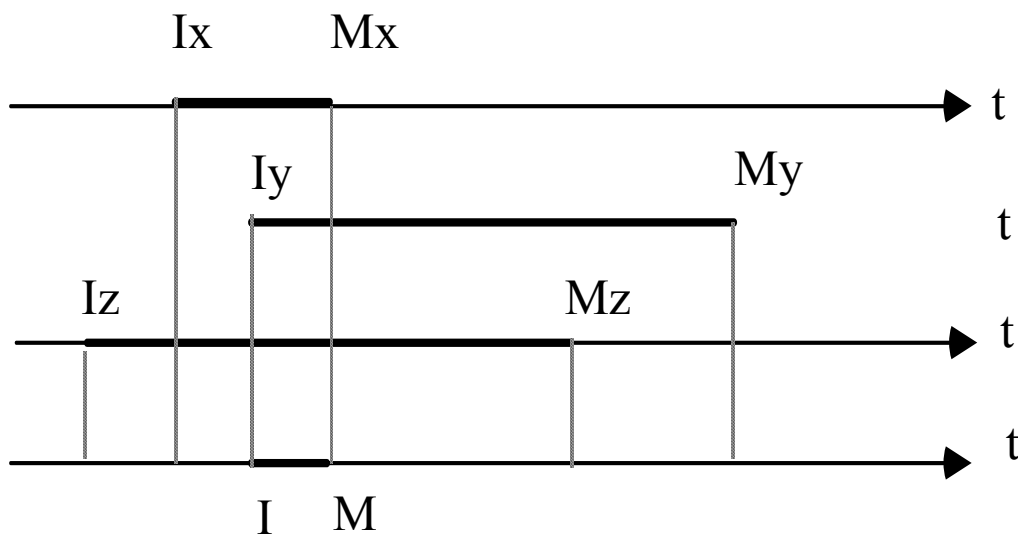
$$t = ((P_0 - C) \cdot D / \| D \|^2) = - (P_0 - C) \cdot D$$

- After substitution : $d_0^2 = \| P_0 - C \|^2 - ((P_0 - C) \cdot D)^2$

Intersection Test

Parallelepiped

- The faces of the parallelepiped are perpendicular to the axes of the world coordinate system.
- First, the intersections between the ray and the faces $x = x_1$ and $x = x_2$ are computed. Two values of t are then obtained
- $t_1 = (x_1 - x_0) / dx$ and $t_2 = (x_2 - x_0) / dx$.
- Interval: $[I_x, M_x] = [\min(t_1, t_2), \max(t_1, t_2)]$
- Same processing applied to the faces perpendicular to the y and z axes.
- The result is then an intersection interval given by :
 $[I, M] = [\max(I_x, I_y, I_z), \min(M_x, M_y, M_z)]$
- If $I \leq M$ then the ray intersects the parallelepipedic bounding volume, otherwise it does not intersect it



Intersection Test

Polyhedron

- The intersection test is similar to the previous one, except that the faces are not perpendicular to the axes of the eye coordinates system
- Interval : [I, M]
- Let N be the normal of a face
- $N \cdot P + d = 0$ the equation of the plane containing the face.
- The value of t corresponding to the intersection between the ray and this face is computed by substituting the ray equation in that of the plane : $t = - (d + N \cdot P_0) / N \cdot D$
- For a slab i , $N=N_i$ and

$$t = \alpha_i * d + \beta_i$$

$$\alpha_i = \frac{-1}{N_i \cdot D}$$

$$\beta_i = \frac{-N_i \cdot P_0}{N_i \cdot D}$$

- Given a slab i, these values are the same for all the object bounding volumes

Intersection with simple objects

Sphere

- Intersection points : solutions of the following equation

$$\| P_0 - C \|^2 + 2t \cdot (P_0 - C) \cdot D + t^2 \cdot \| D \|^2 = r^2$$

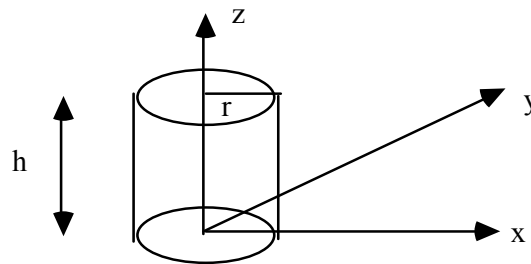
- Intersection : performed in the local coordinates system of the sphere
- $\| P_0 \|^2 + 2t \cdot P_0 \cdot D + t^2 \cdot \| D \|^2 = r^2$

Parallelepiped

- The way to compute the ray-parallelepiped intersection has been shown previously.
- $[I, M]$: interval intersection.
- If $I \leq M$ then the intersection exists and in addition, I and M are the values of the parameter t corresponding to the intersection points. Otherwise it does not exist.

Intersection with simple objects

Cylinder



cylinder and its LCS.

- The cylinder is supposed to be the result of the intersection between an infinite height cylinder and the subspace delimited by two planes which equations are $z = 0$ and $z = h$
- The intersection between the ray and the infinite height cylinder is first performed. This yields a first interval $[t_1, t_2]$
- The intersection with the two planes gives a second interval $[t_3, t_4]$.
- The final intersection interval $[I, M]$ results from the combination of these two intervals (as for the parallelepiped).

Intersection with simple objects

Cylinder

- obtaining [t1, t2]

- The equation of the infinite height cylinder :

$$x^2 + y^2 = r^2$$

- Substituting the ray equation in this equation we obtain :

$$t^2 \cdot (dx^2 + dy^2) + 2t \cdot (x_0 \cdot dx + y_0 \cdot dy) + (x_0^2 + y_0^2 - r^2) = 0$$

- Solving this equation gives the interval [t1, t2].

- obtaining [t3, t4]

- Let A and B the two values of t resulting from the intersection with the two planes :

$$A = - z_0 / dz \quad \text{and} \quad B = (h - z_0) / dz$$

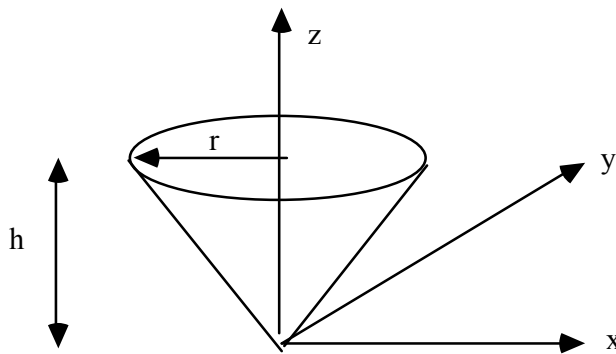
- We get :

$$t_3 = \min(A, B) \quad \text{and} \quad t_4 = \max(A, B)$$

Intersection with simple objects

Cone

- Intersection: performed in the LCS of the cone .



- Cone: intersection between an infinite height cone and the subspace delimited by two planes, the equations of which are $z = 0$ and $z = h$.
- The intersection between the ray and the infinite height cone is first performed. The equation of this cone is given by :

$$h^2 \cdot (x^2 + y^2) - r^2 \cdot z^2 = 0.$$

- Substituting the ray equation in this equation yields an interval $[t_1, t_2]$.
- Then the planes are in their turn intersected to give a second interval $[t_3, t_4]$ such that :

$$t_3 = \min(A, B) \quad \text{and} \quad t_4 = \max(A, B)$$

- where $A = - z_0 / dz$ and $B = (h - z_0) / dz$.
- The final interval is the combination of these two intervals (as for the cylinder).

Intersection with simple objects

Polygon

- Several ray-polygon intersection methods have been proposed in the literature.
- Only two of them are presented .
- For all these methods, the intersection process consists of two steps :
 - *First step: Ray-Plane intersection test*
 - the goal of the first step is to perform the intersection between the ray and the plane containing the polygon
 - *Inside - Outside test*
 - the second step tests if the resulting point is inside or outside the polygon.

Intersection with simple objects

Polygon

Snyder's method

- Snyder's method concerns the ray-triangle intersection. It will be extended to a polygon.
- Let P_i be the vertices of a triangle and let N_i the associated normals which are used for normal interpolation across the triangle.
- Normal to the triangle: $N = (P_1 - P_0) \times (P_2 - P_0)$
- A point P lying on the triangle plane satisfies :

$$P \cdot N + d = 0 \text{ where } d = -P_0 \cdot N.$$

- An index i_0 is computed to be equal either to 0 if $|N_x|$ is maximum or to 1 if $|N_y|$ is maximum or to 2 if $|N_z|$ is maximum.
- To intersect a ray $P = O + t \cdot D$ with a triangle, first compute the t parameter of the intersection between the ray and the triangle plane :

$$t = (d - N \cdot O) / N \cdot D.$$

Intersection with simple objects

Polygon

Snyder's method

- Let i_1 and i_2 ($i_1, i_2 \in \{0, 1, 2\}$) be two unequal indices different from i_0 . Compute the i_1 and i_2 components of the intersection point I , by :

$$I_{i_1} = O_{i_1} + t \cdot D_{i_1} \quad \text{and} \quad I_{i_2} = O_{i_2} + t \cdot D_{i_2}$$

- The inside-outside test can be performed by computing scalars β_0, β_1 and β_2 according to :

$$\beta_i = [(P_{i+2} - P_{i+1}) \times (I - P_{i+1})]_{i_0} / [N]_{i_0}$$

- The β_i are the barycentric coordinates of the point where the ray intersects the triangle plane.
- I is inside the triangle if and only if $0 \leq \beta \leq 1$ for $i \in \{0, 1, 2\}$.
- The interpolated normal at point I is given by :

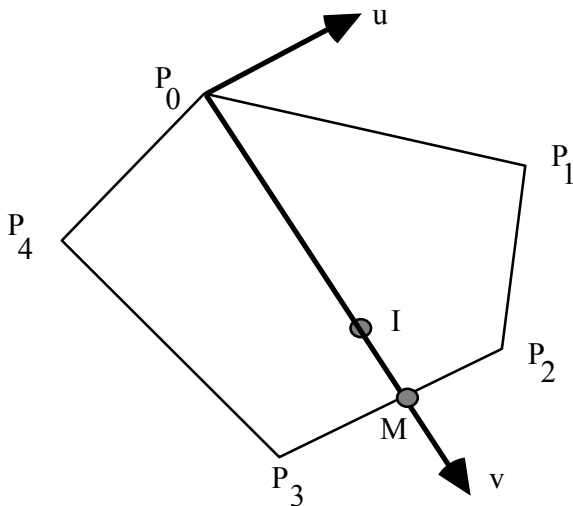
$$N' = \beta_0 \cdot N_0 + \beta_1 \cdot N_1 + \beta_2 \cdot N_2.$$

- Snyder's method can be easily extended up to polygons.
- The main idea is to consider a polygon as a union of triangles.

Intersection with simple objects

Polygon

Marchal's method



- I is the ray-plane intersection point.
- The P_i are transformed to the two dimensional coordinates system (u, v) whose origin is vertex P_0 .
- The plane of this coordinates system is the polygon plane.
- The inside-outside test determines if an edge P_iP_{i+1} intersects the v axis at a point M (this may occur when the u components of P_i and P_{i+1} have different signs).
- If so, and if $P_0I < P_0M$ then I is inside the polygon, else it is outside.
- On the other hand, if none of the edges intersect the v axis, then I lies outside the polygon.

Intersection with simple objects

Polygon

Marchal's method

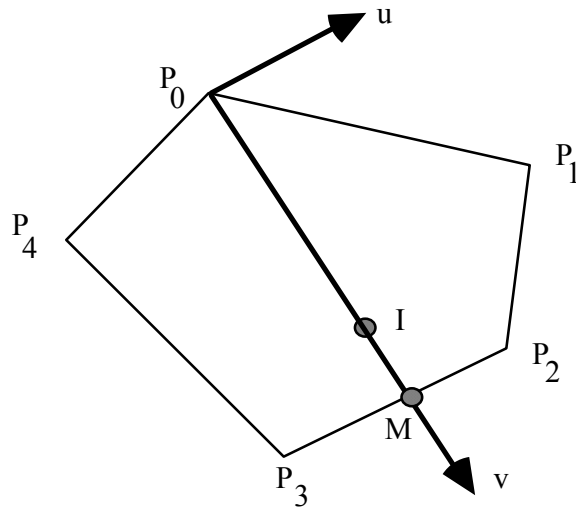
- The interpolated normal at point I is given by :

$$N_I = (P_0I / P_0M) \cdot N_M + (1 - P_0I / P_0M) \cdot N_0$$

- where the normal N_M at point M is given by :

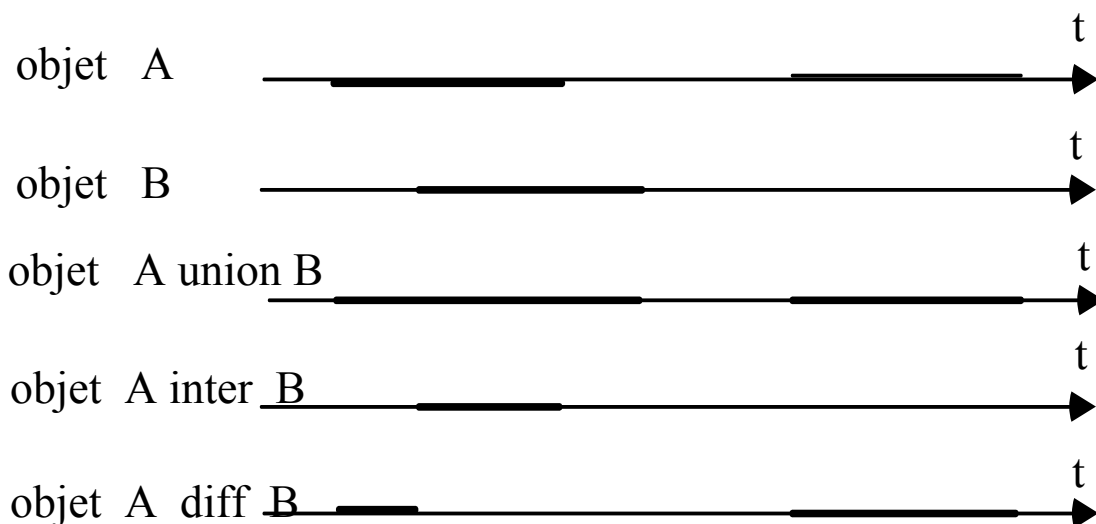
$$N_M = (P_iM / P_iP_{i+1}) \cdot N_{i+1} + (1 - P_iM / P_iP_{i+1}) \cdot N_i$$

- and N_i, N_{i+1} are the normals at point P_i and P_{i+1} . P_iP_{i+1} is the intersected edge.



Composite objects

- A composite object may be created by performing set operations (union, difference, intersection) on simple or on other composite objects.
- A CSG tree is an example of composite object.
- The ray-object intersection results in a list of intervals as shown in the following figure .
- In this example, two objects are combined with each set operator. The intersection result is a list of two intervals, the length of which depends on the used set operation.



Intersection with algebraic surfaces

- An algebraic surface is defined by :

$$S(x, y, z) = \sum_{i=0}^l \sum_{j=0}^m \sum_{k=0}^n a_{ij} \cdot x_i y_j z_k \quad (6)$$

- The substitution in $S(x, y, z)$ of the ray equation, gives a polynomial equation $S^*(t)$, the degree of which is $d = l + m + n$:

$$S^*(t) = \sum_{i=0}^d a_i \cdot t^i .$$

- $S^*(t)$ may be solved with non linear programming techniques, such as the one of Laguerre, Newton or Bairstow.
- These techniques are iterative and converge only if they start from an initial value of t close to the exact root.
- To find a good initial value of t , one must isolate the roots by recursively subdividing the range of t into two equal sized subintervals, and by seeing if the resulting subintervals contain at least one root.
- This process terminates when the width of an interval is less than a given threshold.

Root isolation methods

Several root isolation methods are proposed in the literature. Only two of them are discussed :

- interval methods
- Collins's method

Interval method

- An interval is defined by an ordered pair of real numbers $[a, b]$ with $a < b$.
- Interval method allows performing arithmetic operations on intervals using the operators $+$, $-$, $*$ and $/$.
- Let op be an operator :

$$[a, b] \text{ op } [c, d] = \{ x \text{ op } y, \text{ such that } x \in [a, b] \text{ and } y \in [c, d] \}$$

- These operations can be performed algebraically using the endpoints of the intervals, as shown in the following :

$$[a, b] + [c, d] = [a + c, b + d]$$

$$[a, b] - [c, d] = [a - c, b - d]$$

$$[a, b] * [c, d] = [\min(a*c, a*d, b*c, b*d), \max(a*c, a*d, b*c, b*d)]$$

$$[a, b] / [c, d] = [a, b] * [1/d, 1/c] \text{ provided that } 0 \notin [c, d]$$

- The division by an interval containing 0 may be defined as :

$$1 / [a, b] = [1/b, +\infty] \text{ if } a = 0,$$

$$= [-\infty, 1/a] \text{ if } b = 0,$$

$$= [-\infty, 1/a] \text{ union } [1/b, +\infty] \text{ if } a \leq 0 \leq b,$$

$$= [1/b, 1/a] \text{ if } a > 0 \text{ or if } b < 0 .$$

Interval method

- Let $f(x_1, \dots, x_n)$ be a rational function, and let F be the corresponding interval rational function.
- If for each i , $1 \leq i \leq n$, x_i ranges over $[a_i, b_i]$ then

$$F([a_1, b_1], \dots, [a_n, b_n]) \quad \square \quad \{ f(x_1, \dots, x_n) \text{ such that} \\ x_i \in [a_i, b_i], 1 \leq i \leq n \} \\ = \text{range of } f.$$

How the interval method can be used to solve a polynomial equation ?

- First, the range T of variable t , is determined by intersecting the ray with the bounding volume of the surface.
- After that, the method checks the possibility for the interval T (and its subintervals) to contain the value 0.
- This is done by interval evaluation of the polynomial equation .
- If this evaluation contains 0, then there is some chance for the polynomial to have real zeros.
- In this case, T is subdivided into two subintervals and the process is repeated for the subintervals in a recursion fashion.
- The recursion terminates when the width of the current subinterval is smaller than a threshold (in case of isolation) or when it can be treated as a single point which is a real root of the polynomial.

How the interval method can be used to solve a system of non linear equations ?

- The same technique can be used to isolate or to find the solutions of a system of non linear equations .
- For the sake of simplicity, consider a system of two polynomial equations where the two unknowns are u and v ranging respectively over $U = [u_1, u_2]$ and $V = [v_1, v_2]$:

$$f(u,v) = 0 \quad \text{with } (u,v) \in I$$

$$g(u,v) = 0 \quad \text{with } (u,v) \in I.$$

- $I = [u_1, u_2] \times [v_1, v_2]$
- The method checks the possibility for a solution to lie within the entire domain of the 2D interval I .
- This is done by interval evaluation of the functions $f(u, v)$ and $g(u, v)$.
- If both the evaluations contain 0, then there is some chance for the solution to exist.
- If so, I is subdivided into 2D subintervals and the process is repeated recursively as pointed out above.

Root isolation methods

Collins's method

- Let
$$P(x) = \sum_{i=0}^n a_i \cdot x^i$$

- Descartes' rule states that the number of sign variations $\text{var}(a_n, a_{n-1}, \dots, a_0)$ exceeds the number of positive zeros, multiplicities counted, by an even non negative integer.
- Hence if $\text{var}(P)$ is equal to 0, P has exactly no positive roots, and if $\text{var}(P)$ is equal to 1, P has exactly one positive root.
- A surprising theorem which Uspensky attributes to Vincent in 1886, shows that after a finite number of transformations

$$P'(x) = P(x+1) \quad \text{and} \quad P^*(x) = (x+1)^n * P(1/(x+1))$$

one arrives at polynomial having sign variation 1 or 0.

Root isolation methods

Collins's method : Algorithm

```
procedure real_root_isolation( P : polynomial ; var L :  
                                list_of_intervals) ;  
var  
    bound : real ;  
    B : polynomial ;  
    L' : list_of_intervals ;  
  
begin  
  
    { bound the positive roots of P by bound }  
  
    bound := 2k ;  
    if k >= 0 then B(x) := P(bound * x)  
        else B(x) := (1 / ( bound*2n ) ) * P(bound * x) ;  
  
    { call the isolation procedure which gives a list L' of  
    isolation intervals of B }  
  
    isolation_proc( B, 0, 1, 1, L' ) ;  
  
    { call the procedure replace_L'_by_L to replace each interval  
    [ai, bi] by [ bound * ai, bound * bi] }  
  
    replace_L'_by_L( L, L' ) ;  
  
end;
```

```
procedure isolation_proc( B : polynomial ; min_int, max_int :
                        real ; width : real ; var L : list_of_intervals ) ;
```

```
var
```

```
  L1, L2 : list_of_intervals ;
  B*, B', B'' : polynomial ;
  I : interval ;
```

```
{ min_int and max_int are respectively the smallest and largest
  endpoints of the current interval }
```

```
begin
```

```
{ transform the zeros of B in [0, 1] onto the zeros of B* in
  [0, ∞] }
```

```
B*(x) := (x + 1)n * B( 1 / (x + 1) ) ;
{ end of recursion }
```

```
if var(B*) = 0 then begin
```

```
  L := empty ;
  return ;
```

```
end
```

```
else if (var(B*) = 1 ) and (width <= threshold ) then
```

```
begin
```

```
  I := [min_int, max_int] ;
  insert_in_L( I ) ;
```

```
end ;
```

```
{ process the left-half subinterval by transforming the zeros of B in [0, 1/2]
  on the zeros of B in [0, 1] }
```

```
B'(x) := 2n * B(x / 2) ;
isolation_proc( B', min_int, max_int - width / 2, width / 2, L1 ) ;
```

```
{ process the right-half subinterval by transforming the zeros of B in [1/2, 1]
  on the zeros of B in [0, 1] }
```

```
B''(x) := B'(x + 1) ;
isolation_proc( B'', min_int + width / 2, max_int, width / 2, L2 ) ;
```

```
{ put the two lists L1 and L2 in L }
```

```
add_list( L, L1 ) ;
```

```
add_list( L, L2 ) ;
```

```
end ;
```

Intersecting Bicubic surfaces

- $Q(u,v) = [x(u, v), y (u, v), z (u, v)]$

$$= \sum_{i=0}^3 \sum_{j=0}^3 B_i(u) \cdot B_j(v) \cdot P_{ij} \quad (7)$$

- where P_{ij} are the control points of the surface, and $B_i(u)$, $B_j(v)$ the blending functions which determine the type of surface (B-spline, Bezier, Beta-spline...).
- These blending functions depend on the two parameters u and v which both range over $[0, 1]$.
- A ray may be considered as intersection of two planes defined by :

$$[A1, B1, C1] \cdot [x, y, z] = D1$$

$$[A2, B2, C2] \cdot [x, y, z] = D2$$

- The ray equation is expressed as :

$$[x, y, z] = [x0, y0, z0] + t \cdot [dx, dy, dz]$$

Intersecting Bicubic surfaces

- The two planes can be determined as follows :

$$[A1, B1, C1] = [x0, y0, z0] \times [dx, dy, dz]$$

$$[A2, B2, C2] = [A1, B1, C1] \times [dx, dy, dz]$$

$$D1 = [A1, B1, C1] \times [x0, y0, z0]$$

$$D2 = [A2, B2, C2] \times [x0, y0, z0]$$

- After substitution we obtain the following system :

$$\sum_{i=0}^3 \sum_{j=0}^3 ([A1, B1, C1] \cdot P_{ij}) \cdot B_i(u) \cdot B_j(v) - D1 = 0 \quad (11)$$

$$\sum_{i=0}^3 \sum_{j=0}^3 ([A2, B2, C2] \cdot P_{ij}) \cdot B_i(u) \cdot B_j(v) - D2 = 0$$

Intersecting Bicubic surfaces

- Once these equations have been stated, ray-surface intersection may be performed by means of one of the existing methods. At least, three methods can be used :
- method which decomposes a patch into a set of planar polygons,
- method which subdivides recursively a patch into four patches. The recursion terminates when the bounding volume of a subpatch is intersected by the current ray and satisfies a size criterion.
- method which uses numerical techniques. The resultant method may be used (see Kajiya).

SPATIAL SUBDIVISION

Principle

- The rectangular bounding volume of the scene is subdivided into 3D cells
- Each cell contains a small portion of the scene
- When a ray enters a cell, we check the objects within this cell for an intersection with the ray
- If the intersection process ends up with success then no need to check the rest of the objects
- If the ray fails to hit any object in the cell then it moves to the next 3D cell

Two procedures

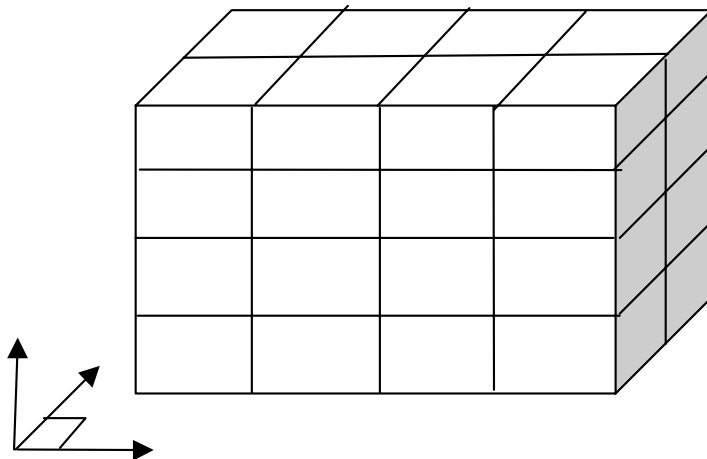
- A procedure which performs a spatial subdivision of the scene into 3D cells, each of them containing a small portion of the database
- A second procedure which determines the next cell along a ray

SPATIAL SUBDIVISION

Subdivision into a 3D uniform grid

Subdivision

- The rectangular bounding volume of the scene is subdivided into a uniform 3D grid of rectangular cells
- The grid is represented by a 3D array, the indices of which are i , j and k corresponding to the x , y and z axes respectively
- Each cell is represented by a data structure containing a pointer to the objects partially or totally within the cell
- Example



SPATIAL SUBDIVISION

Subdivision into a 3D uniform grid

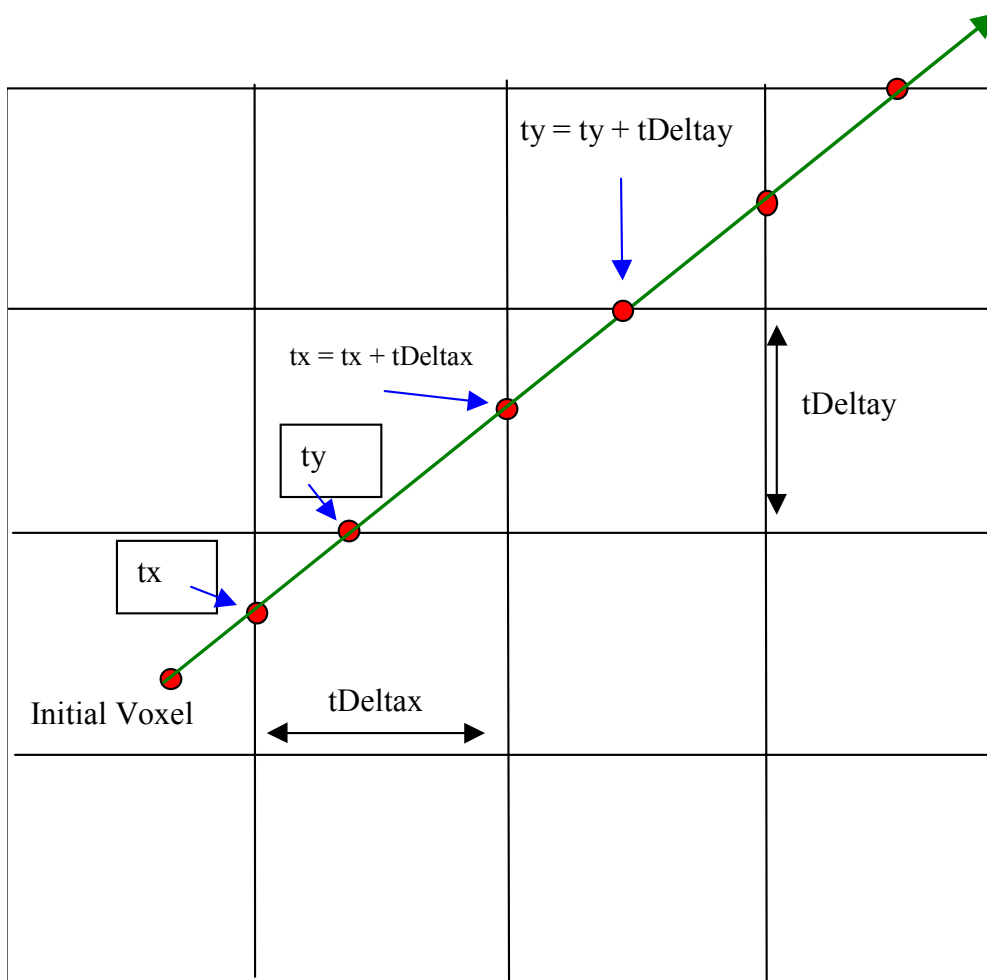
- Next cell along a ray : classical method

- Let $G[i][j][k]$ be the 3D array representing the 3D grid
- Let P the point where the ray leaves the current cell and D the ray direction
- P is the outgoing point
- Let w be the axis perpendicular to the face which contains P
- Let u (x , y or z) be the index (i , j or k) of the current cell corresponding to w
- If $Dw > 0$ then the index u of the next cell is $u = u + 1$, the other indices are unchanged
- Else it is : $u = u - 1$
- Example :
 - If $w = z$ then $u = k$
 - If $Dz > 0$ then the index of the next cell along the ray is $k = k + 1$, while the other indices do not change
 - If the current cell is $G[i][j][k]$ then the next cell along the ray is $G[i][j][k + 1]$ if $Dz > 0$, or $G[i][j][k - 1]$ if $Dz < 0$

SPATIAL SUBDIVISION

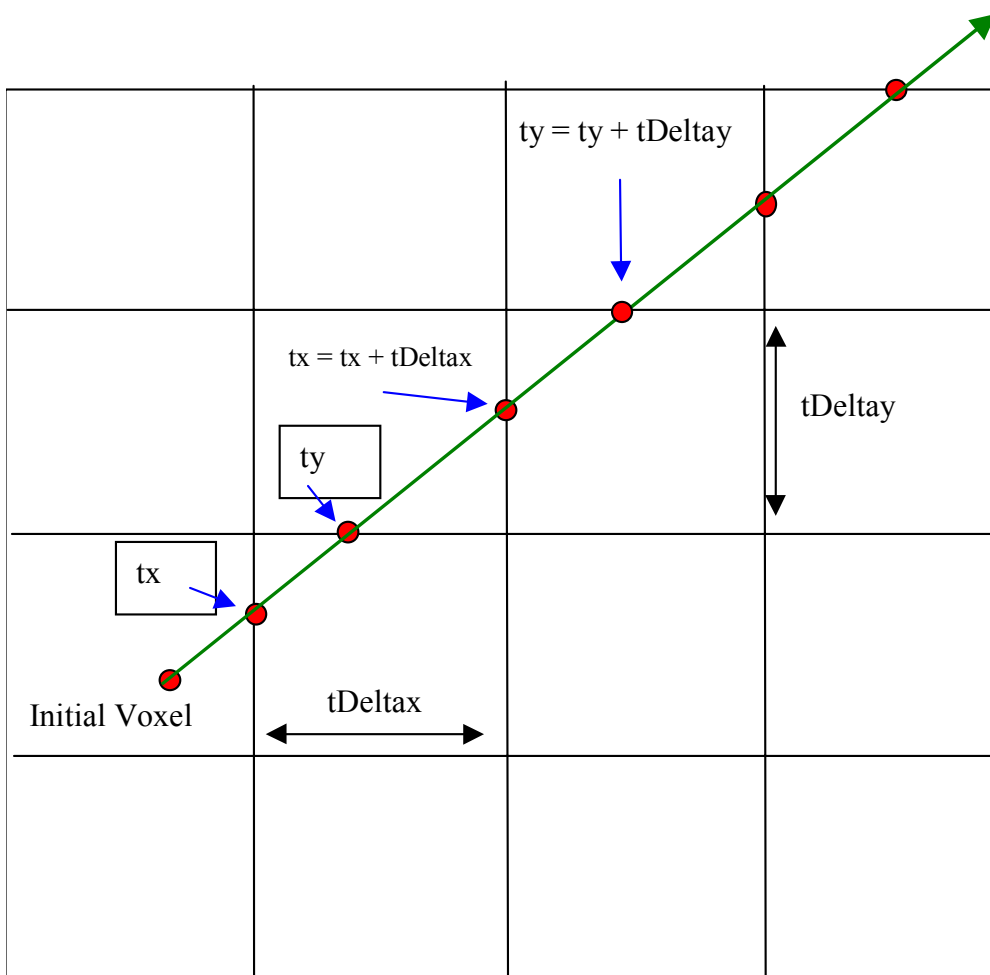
Subdivision into a 3D uniform grid

Next cell along a ray : Amanatides's method



- Initialization

- Ray equation : $P = P_0 + t \cdot D$
- Identify the voxel containing the ray origin O
- If O is outside the grid, find the point through which the ray enters the grid and determine the adjacent voxel
- X , Y and Z : voxel indices
- $StepX$, $stepY$ and $stepZ$: initialized to 1, incremented or decremented as the ray crosses the voxel boundaries
- tx , ty and tz : values of t corresponding to the points resulting from the intersection between the ray and 3 faces of the initial voxel
- $tDeltaX$, $tDeltaY$ and $tDeltaZ$: distance travelled by the ray between two successive faces perpendicular to the x , y and z faces respectively

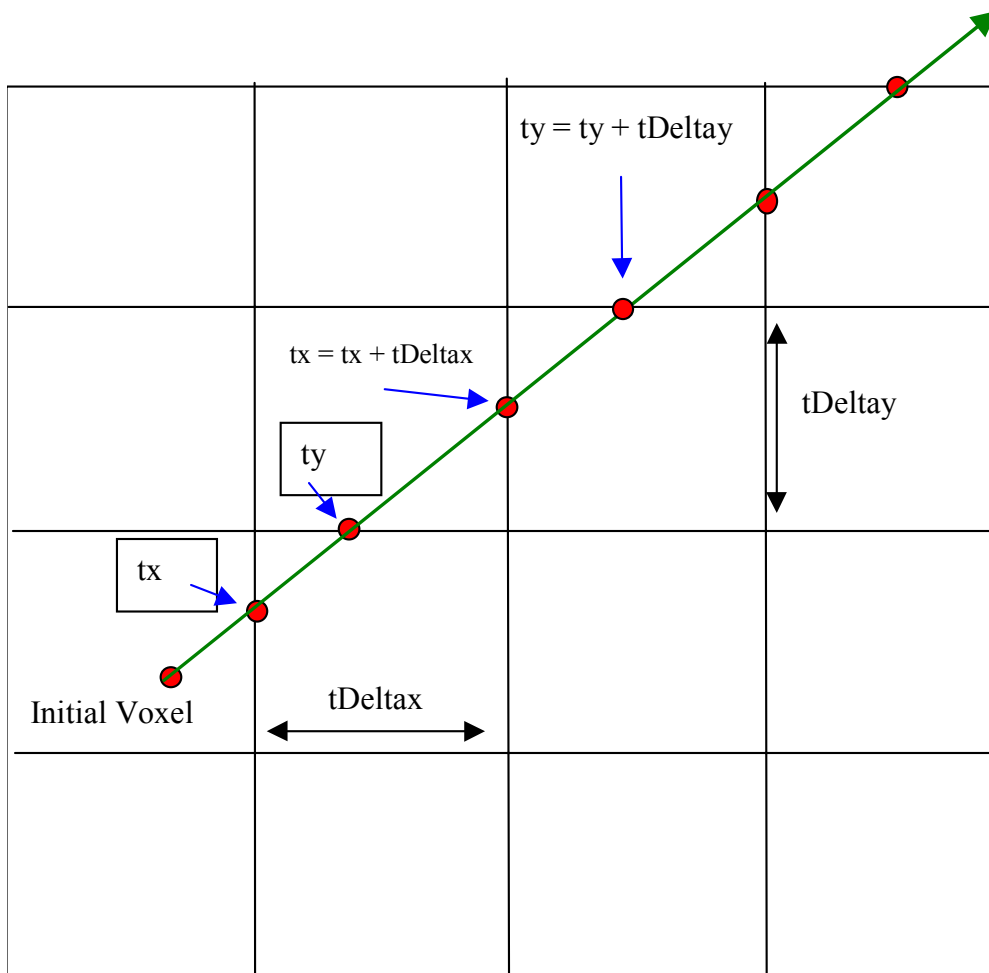


Algorithm

$\text{Min} = \min(\text{tx}, \text{ty}, \text{tz}) ;$

`switch(Min)`

```
{  
  case tx :  
    X += stepX ;  
    tx += tDeltax ;  
    break ;  
  case ty :  
    Y += stepY ;  
    ty += tDeltay ;  
    break ;  
  case tz :  
    Z += stepZ ;  
    tz += tDeltaz ;  
    break ;  
}
```



SPATIAL SUBDIVISION

Subdivision into a non uniform grid

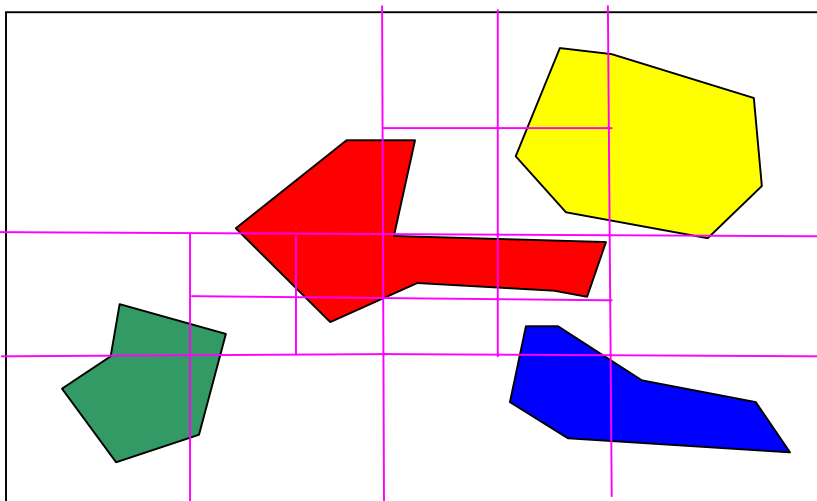
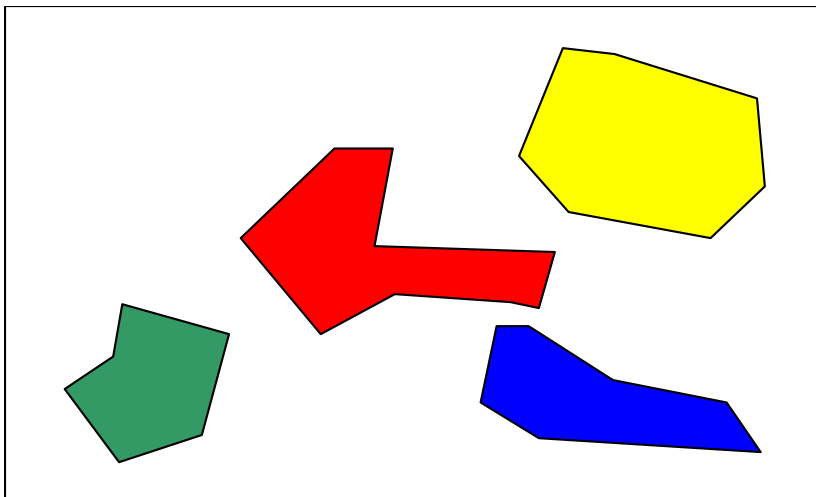
Subdivision

- The rectangular bounding volume of the scene is recursively sliced by 3 planes perpendicular to the x, y and z axes one after the other
- Each slicing plane divides a space (a 3D cell) into two subspaces (a3D cells) of equal dimensions
- The subdivision process stops either when a cell contains partially or totally a minimum number of objects or the maximum subdivision level is reached for each axis
- The result is a linear array of rectangular cells
- Each cell is identified by a number
- Each cell is represented by a data structure containing a pointer to the objects partially or totally within it

SPATIAL SUBDIVISION

Subdivision into a non uniform grid

Subdivision



SPATIAL SUBDIVISION

Subdivision into a non uniform grid

Next cell along the ray

- P : out going point
- Push P along the normal to the outgoing face
- The results is another point P'
- Pushing consists in adding to the P's coordinates a value deltax (resp. deltay , deltaz) which is equal to half the length of the x side (resp. y, z) of the smallest cell.
- Determine the cell containing P'
- If P is on an edge or a vertex of a cell, push it simultaneously in the directions of the normals of the faces sharing it

